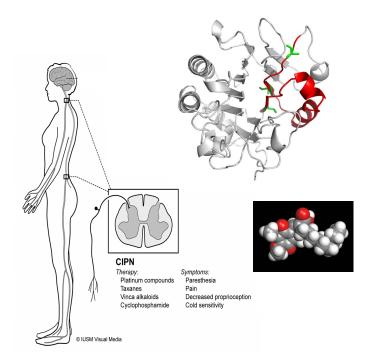


Mark Kelley, Ph.D., with Jordan Dew



Development of the first clinical drug targeting the DNA repair/redox signaling APE1/Ref-1; Clinical indications for solid tumors and CIPN prevention

Mark R. Kelley, Ph.D.

- Betty and Earl Herr Professor in Pediatric Oncology Research
- Professor, Departments of Pediatrics, Biochemistry & Molecular Biology and Pharmacology & Toxicology
- Associate Director, Herman B Wells Center for Pediatric Research
- Associate Director of Basic Science Research, Indiana University Simon Cancer Center
- Director, Program in Pediatric Molecular Oncology & Experimental Therapeutics









Disclosures:



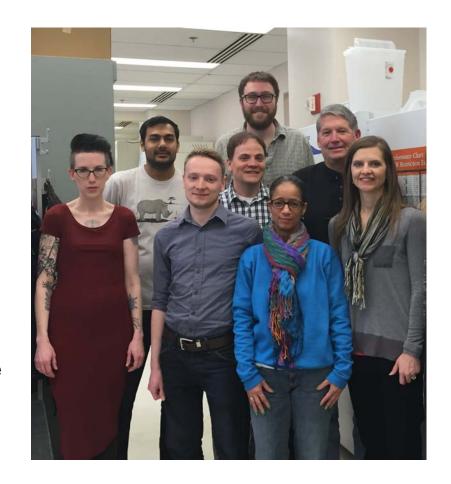
Chief Scientific Officer and Founder, Apexian Pharmaceuticals

Licensing agreements for antibodies and reagents to:

Novus Biologicals Abcam Millipore

Supported by:

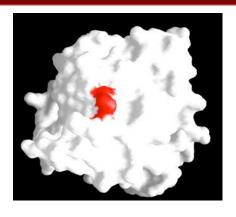
The National Institutes of Health, National Cancer Institute CA167291, R21NS091667, Hyundai Hope on Wheels Foundation Grant, Betty and Earl Herr Chair in Pediatric Oncology Research, Hamer Foundation, Jeff Gordon Children's Research Foundation and the Riley Children's Foundation.

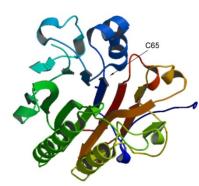


The Target

APE1/Ref-1 Overview

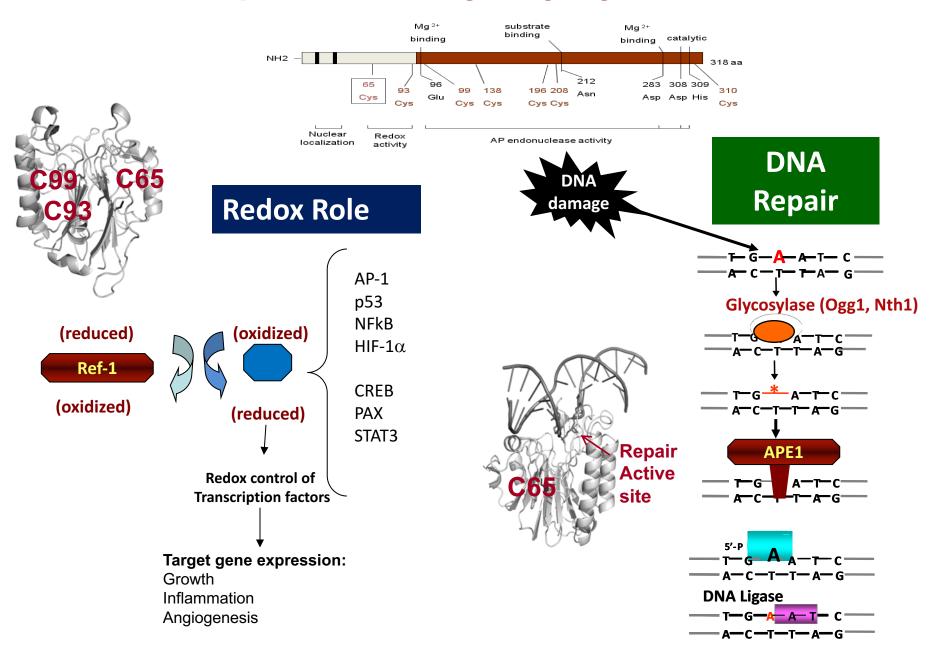
- APE1 (apurinic/apyrimidinic endonuclease), also called Ref-1 (redox effector factor 1), is a multifunctional cellular protein with at least two distinct and separate functions:
 - APE1 Redox Function: Redox regulation of transcription factors (TFs) effecting critical aspects of cancer cell survival and growth including HIF-1, STAT3, NF-KB, and others.
 - <u>DNA Repair Function</u>: DNA base repair caused by oxidative stress, alkylating agents, and ionizing radiation
 - RNA Degradation and quality control: Interaction with NPM1
- Various cancers, including treatment resistant tumors, have shown elevated expression of APE1 suggesting adaptation and unique survival mechanisms through this pathway.





- We can target multiple signaling pathways relevant to various cancers with one protein— as APE1 regulates transcription factors (TFs) HIF1a, STAT3, NFkB and others.
- APX3330 inhibits only the APE1 redox signaling activity.

APE1/Ref-1 functions: DNA repair and Redox signaling regulation of TFs

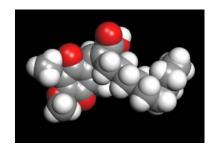


Alteration of APE1/Ref-1 protein expression has been shown to be elevated in:

- Non-small cell lung cancer
- 2. Colorectal cancer
- 3. Breast cancer
- 4. Prostate cancer
- 5. Gynecologic cancers (ovarian, cervical)
- 6. Pancreatic cancer
- 7. Glioblastoma multiforme, meduloblastoma
- 8. Renal cancer
- 9. Gastric cancer
- 10. Germ cell tumors
- 11. Head-and-neck cancers
- 12. Multiple myeloma (hematologic cancer)
- 13. Osteosarcoma and Rhabdomyosarcoma (pediatric)

The Drug

E3330 = APX3330



- APX3330 was originally developed by Eisai (E3330) as a NFkB-TNFa inhibitor for the treatment of inflammatory liver disease.
- Eisai ended APX3330 development after in-licensing Revovir® (clevudine) for the treatment of hepatitis B and Humira (adalimumab) for treatment of rheumatoid arthritis, IBD and other indications.

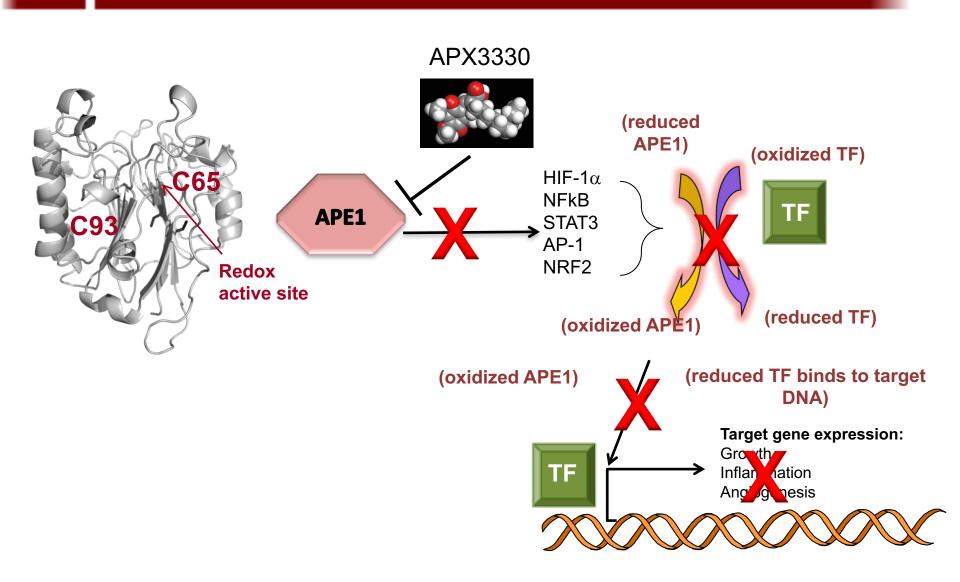
APX3330

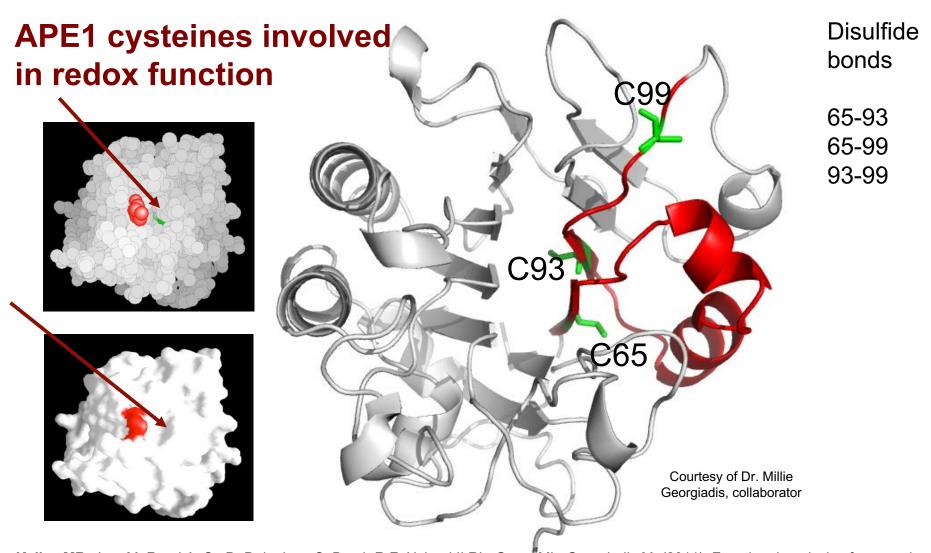
Continued

 The drug has a direct and selective interaction with APE1 as demonstrated by chemical footprinting, mass spectrometry, and other biochemical data.

- Although multiple pathways may be modulated, unacceptable toxicity following APE1 inhibition has not been observed in animal or human studies.
- Preclinical data supports the use of the drug as a single agent; future directions indicate partnering APX3330 with various clinical agents such as JAK2 inhibitors (Ruxolitinib, LY3009104. etc), STAT3 inhibitors, gemcitabine and Abraxane (nab-paclitaxel).

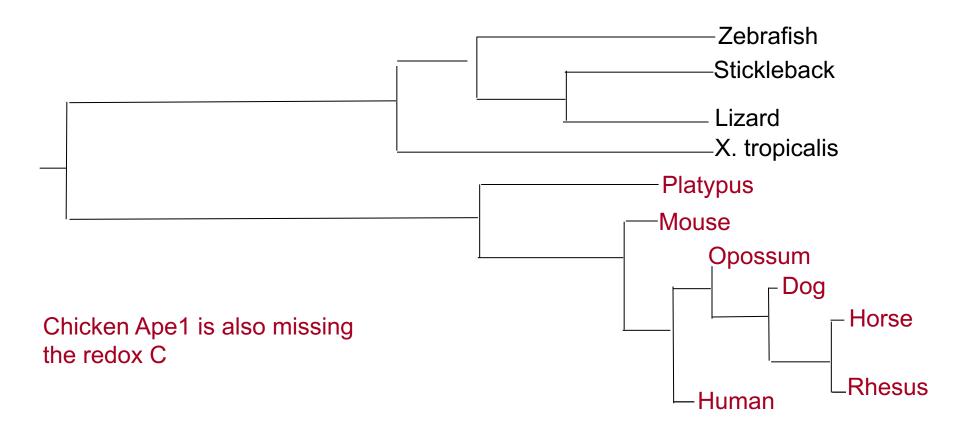
APX3330 inhibits APE1 Redox Function Blocking TF Activity





- Kelley MR, Luo M, Reed A, Su D, Delaplane S, Borch R F, Nyland II RL, Gross ML, Georgiadis M. (2011) Functional analysis of new and novel analogs of E3330 that block the redox signaling activity of the multifunctional AP endonuclease/redox signaling enzyme APE1/Ref-1. Antioxid Redox Signal. April; 14(8): 1387-1401.
- Su D, Delaplane S, Luo M, Rempel D, Vu B, **Kelley MR**, Gross ML, Georgiadis M. (2011) Interactions of APE1with a redox inhibitor: Evidence for an alternate conformation of the enzyme. *Biochemistry*. 50(1): 82-92.
- Luo M, Zhang J, He H, Su D, Chen Q, Gross M, **Kelley MR**, Georgiadis, M. (2012) Characterization of the Redox Activity and Disulfide Bond Formation in Apurinic / Apyrimidinic Endonuclease. *Biochemistry*. Jan 17; 51(2):695-705.
- Zhang J, Luo M, Marascot D, Logsdon D, LaFavers KA, Chen Q, Reed A, **Kelley MR**, Gross ML, Georgiadis MM. (2013) Inhibition of Apurinic/apyrimidinic endonuclease I's redox activity revisited. *Biochemistry*. Apr 30;52(17):2955-66

Evolution of the C65 redox center in APE1

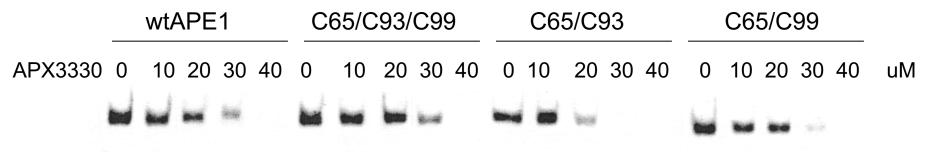


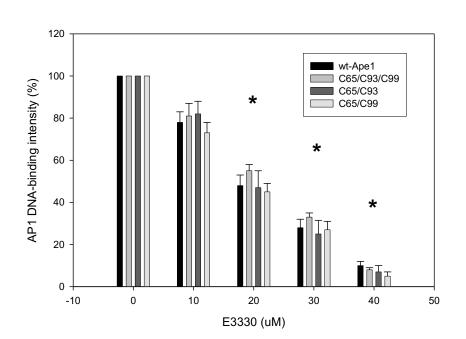
Human CCCCCCC
Rhesus CCCCCCC
Mouse CCCCCCC
Dog CCCCCCC

Horse CCCCCCC
Opossum CCCCCCC
Platypus CCCRCCC
Lizard TCCKCCC

X. tropicalis SCCKCCC
Stickleback TCCECCC
Zebrafish TCCECCC

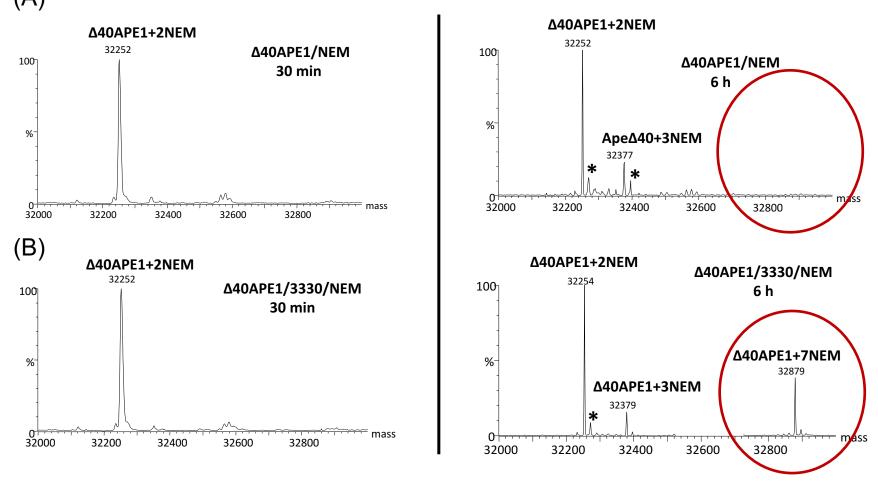
APX3330 blocks the activity of primary Cys residues required for APE1 redox function





Labeling indicates only the Cys present

Mass spectra of APE1 after incubation without (A) and with APX3330 (B) in the presence of NEM for 30 min (left panel) and 6 h (right panel).



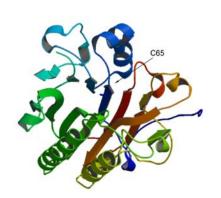
No 3330, NEM specifically modifies APE1 resulting in the formation of a +2 NEM = C99 C138 (solvent accessible). +3330 = 7NEM appearance = all cys now accessible

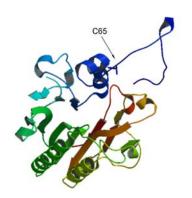
Su D, Delaplane S, Luo M, Rempel D, Vu B, Kelley MR, Gross ML, Georgiadis M. (2011) Interactions of APE1with a redox inhibitor: Evidence for an alternate conformation of the enzyme. *Biochemistry*. In Press

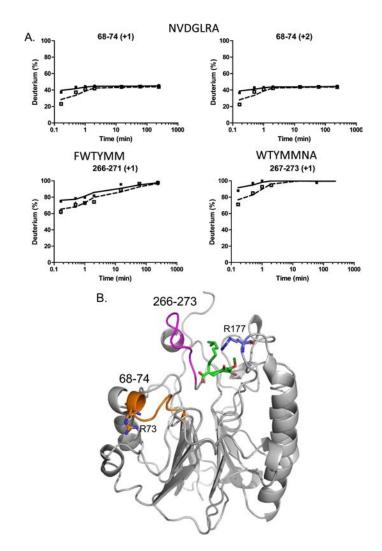


Using HDX mass spectrometry, APX3330 interacts with and inhibits the redox activity of APE1 at two regions.

These results suggest that APX3330 destabilizes APE1's structure rather than stabilizing it.

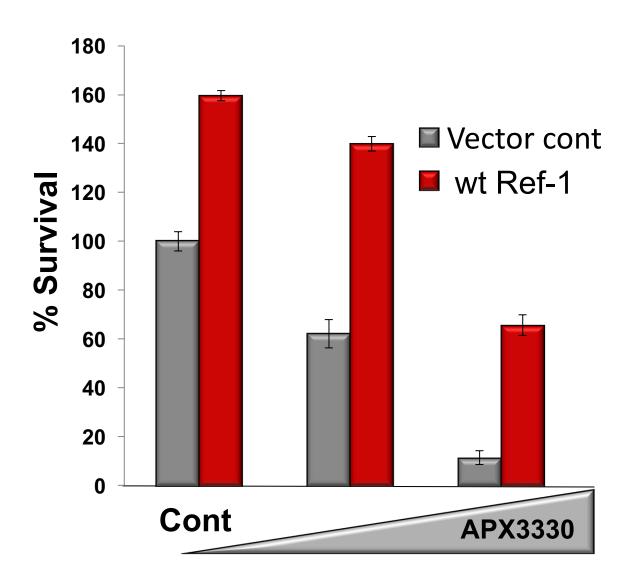




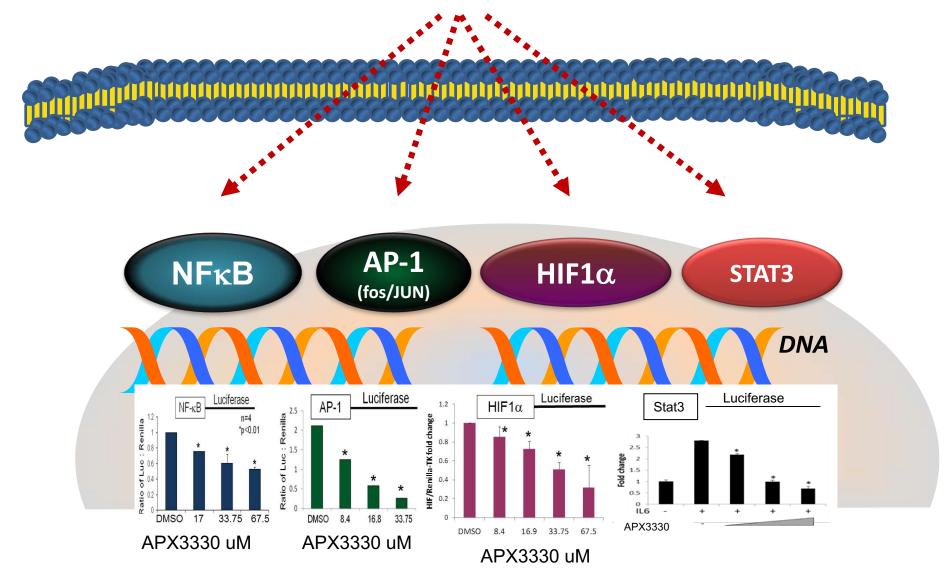


Interaction of APX3330 with APE1 as detected by HDX mass spectrometry. (A) HDX data are shown for peptides with slower exchange rates in the presence of 1.6 mM E3330 (□) as compared to the exchange rates in the absence of compound (■). (B) The peptides that showed protection from deuterium exchange are shown highlighted on the structure of APE1. Residues 68–74 are colored orange and residues 266–273 magenta. Shown as stick models are R73 (orange) and R177 (blue), two Arg residues in the proximity of the regions of interaction identified by HDX mass spectrometry.

Supporting Drug Selectivity Data



Inhibition of APE1/Ref-1 with APX3330 Blocks TF Function and Downstream Factors



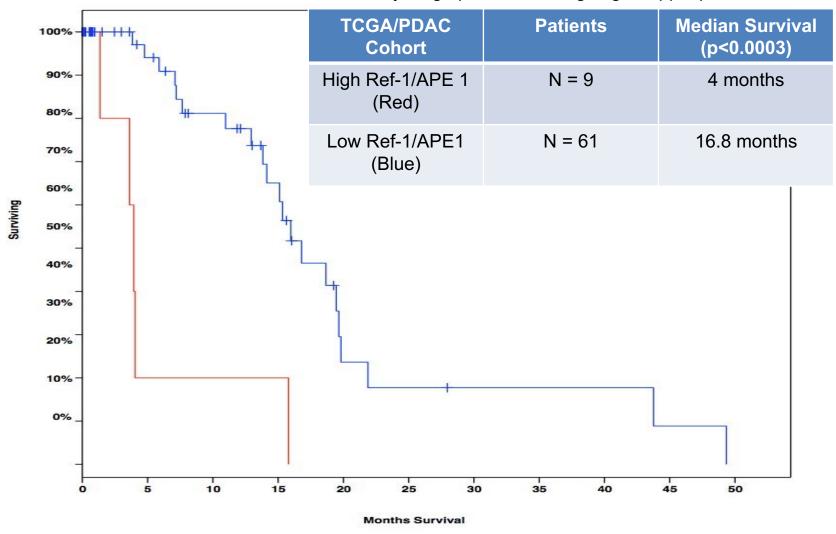
High Unmet Clinical Need for Pancreatic Cancer

- In 2015, 48,960 Americans will be diagnosed with pancreatic cancer and more than 40,560 will die from the disease. Pancreatic cancer 1-year survival rates are ~25% and 5-year survival rates are ~7%.
- Pancreatic cancer thrives in an inflammatory, hypoxic, and dense/stromal
 microenvironment making it hard to treat. Few patients are diagnosed at an
 early stage leading to an average life expectancy following diagnosis of 3 to 6
 months.
- Currently approved chemotherapeutic treatments include combination and single-agent use of paclitaxel, cisplatin, gemcitabine (Gemzar®), and 5-flurouracil.
 Other approved treatments (Abraxane, FOLFIRINOX) have high toxicities limiting use to patients that can tolerate the side effects.
- Pancreatic cancer represents an area of high unmet clinical need with Breakthrough Therapy regulatory approval potential for even modest improvements in survival.

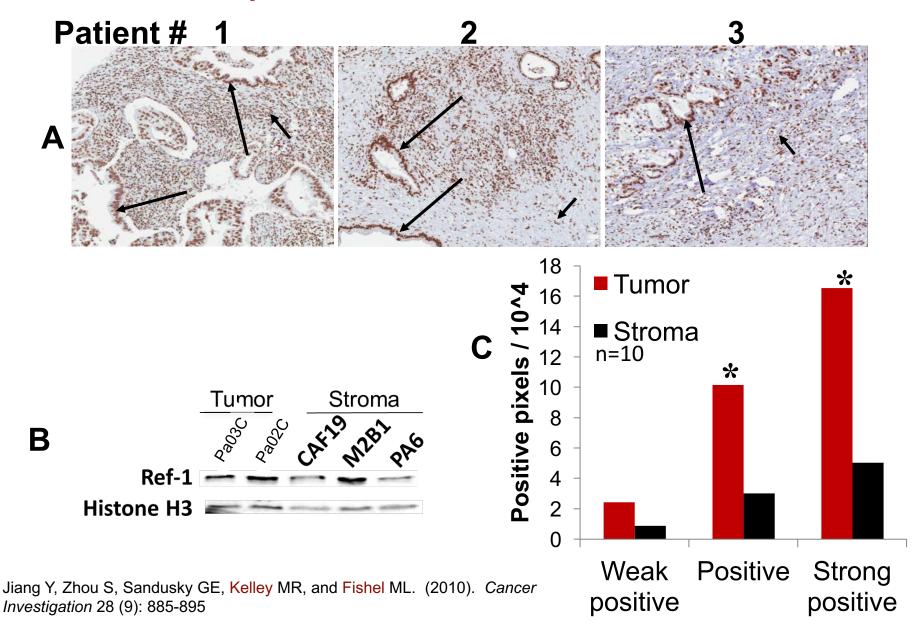
SOURCE: American Cancer Society website

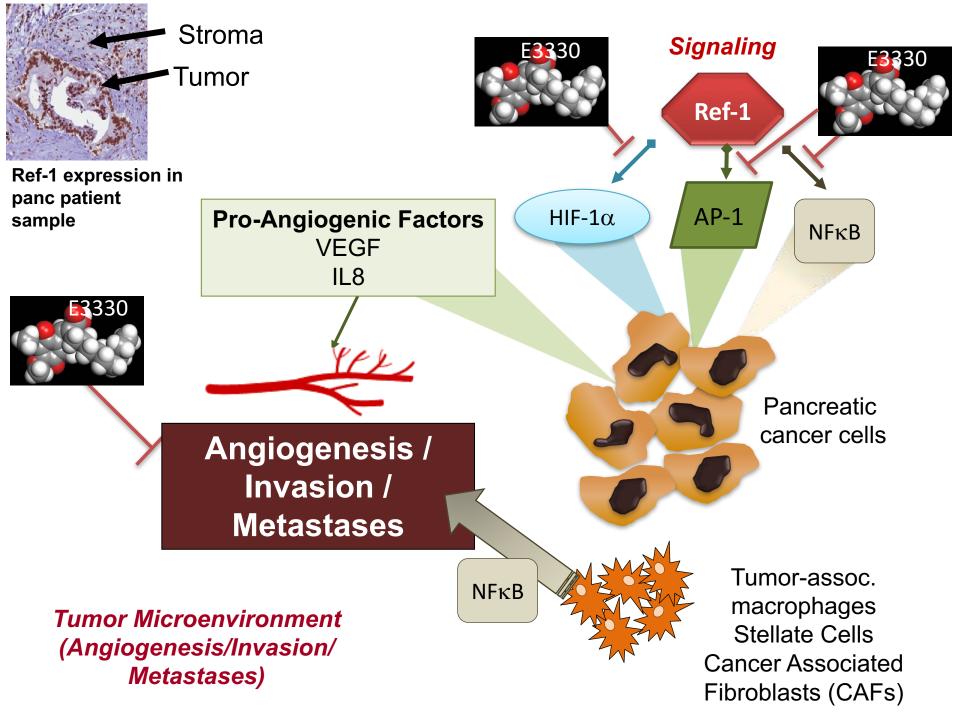
APE1 Expression is Linked to Poor Survival in Pancreatic Patients

Early stage patients undergoing Whipple procedure



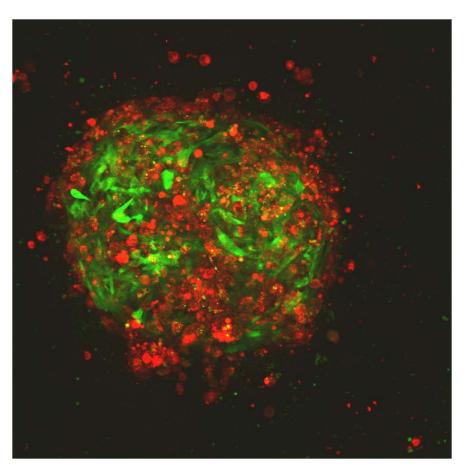
Pancreatic cancer patient tumors express APE1/Ref-1 in both tumor and stroma

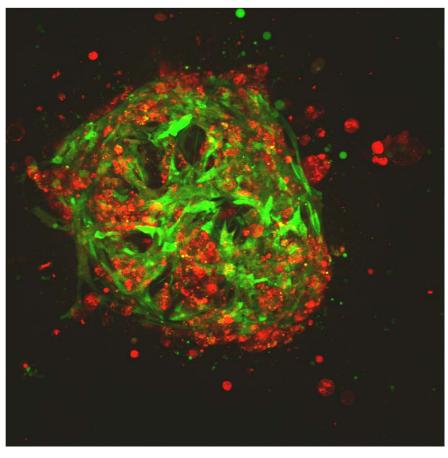




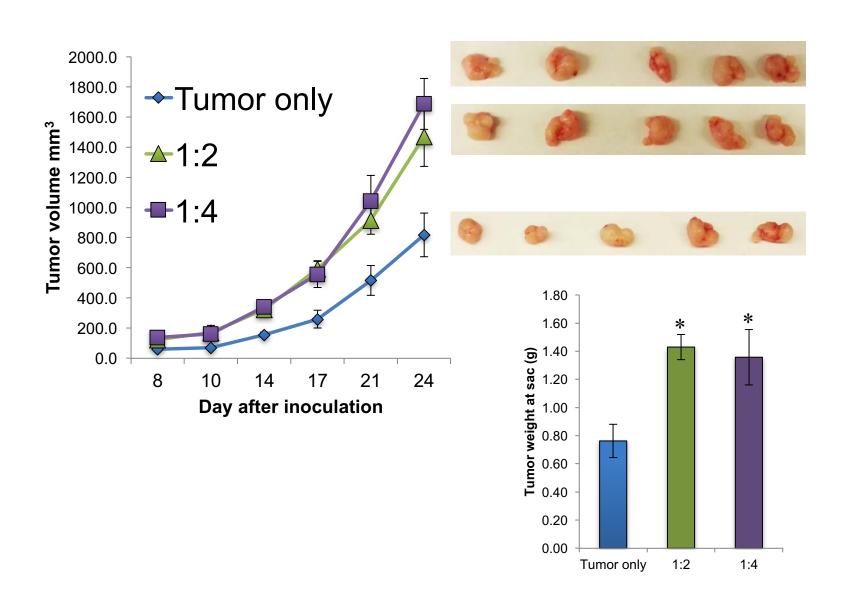
Tumor + CAFs (1:4)

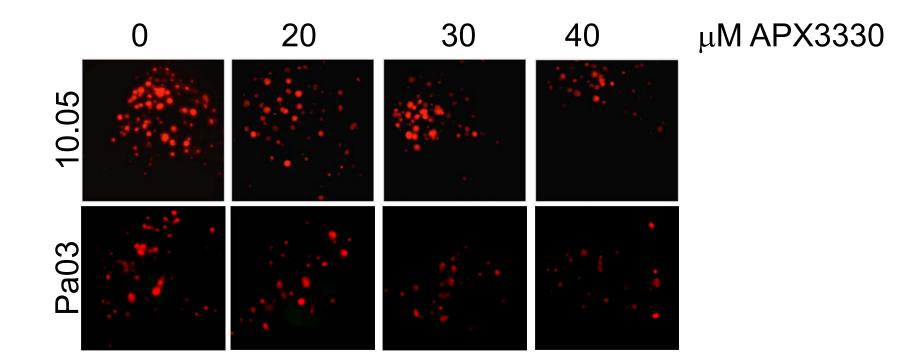
(Pa03C & 1301-63 hTERT-GFP)



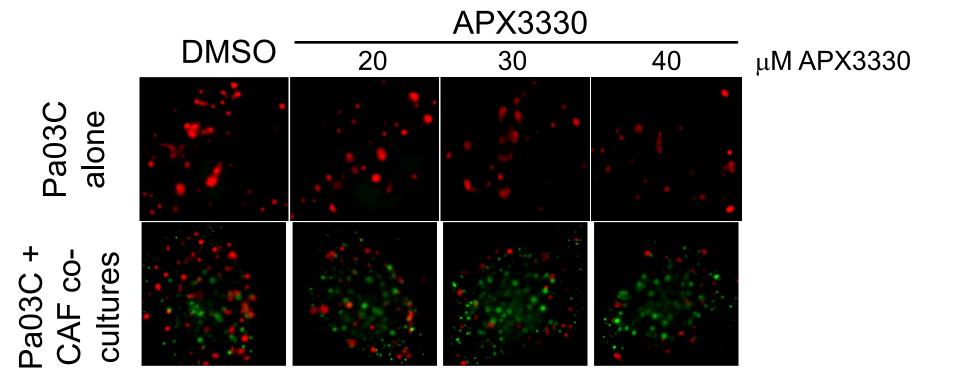


Addition of CAFs to tumors accelerates tumor growth rate in vivo

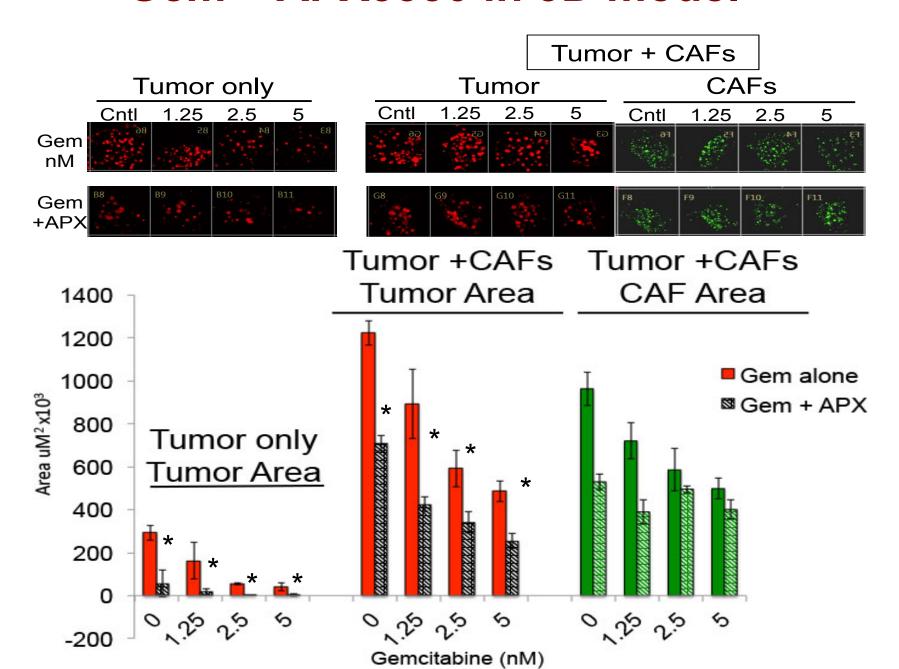




Pa03C

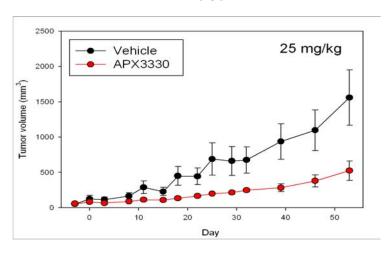


Gem + APX3330 in 3D model

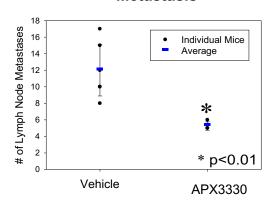


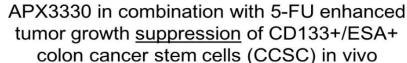
APX3330 Reduces Tumor Growth and Metastasis

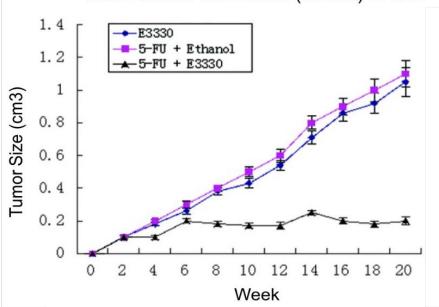
PaCa-2



Human PDAC Metastasis



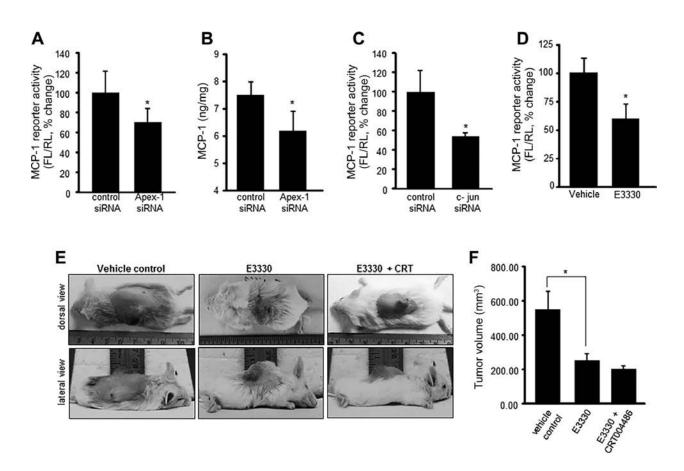




5-FU: 15 mg/kg/day, 5d/wk, x2 wks; APX3330: 1.5 mg/kg/day, 5d/wk, x 2 weeks; 5-FU+APX3330: 5-FU 15 mg/kg/day +APX3330 1.5 mg/kg/day, 5d/wk, x2 wks

1SOURCE: Fishel ML, Jiang Y, Rajeshkumar NV, Scandura G, Sinn AL, He Y, Shen C, Jones DR, Pollok KE, Ivan M, Maitra A, **Kelley MR**. (2011). Impact of APE1/Ref-1 Redox Inhibition on Pancreatic Tumor Growth. *Molecular Cancer Therapeutics*. Sep;10(9):1698-708.

Endothelial cell tumor growth is Ape/ref-1 dependent



Redox function of Apex-1 is required for MCP-1 activation and EC tumor growth in vivo. *Apex-1* knockdown in EOMA cells resulted in significant decrease in MCP-1 reporter activity (A), and MCP-1 release in the media was measured by ELISA (B). C: MCP-1 reporter activity was significantly decreased in c-Jun knockdown EOMA cells and in E3330 (50 μ M, 5 h)-treated cells (D). Redox changes of Apex-1 influences HE outcome in vivo. E: tumor growth rates were evaluated after 7 days of E3330 treatment (25 mg/kg ip twice daily) alone and in combination with CRT0044876 (10 mg/kg ip twice daily). F: tumor volume was quantified using calipers (length \times width \times height). Results are expressed as means \pm SD; *P< 0.05.

Clinical Plans for APX3330

- Apexian will complete a two-part phase I oncology study:
 - Increasing doses in patients with treatment-refractory solid tumors
 - 20-40 patients
- Study endpoint:
 - Identify the RP2 dose of APX3330
 - Based upon
 - tolerability of the agent
 - evidence of anti-tumor effect
 - pharmacokinetic and pharmacodynamic
- IND accepted by the FDA:
 - All study documents are ready and sites identified
 - Contracts pending completion of the funding round
- Additional safety, tolerability and efficacy POC

Academic – Industry / Biotech Partnership

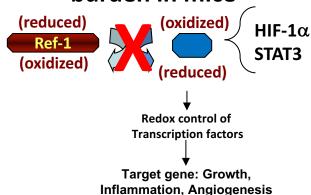






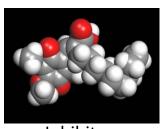
Bench to Bedside

Bench Findings: Inhibition of Ref-1 via APX3330 reduces tumor burden in mice





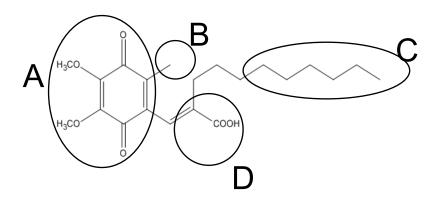
Target APE1/Ref-1



Inhibitor: APX3330

A Phase I Clinical Trial
Open-Label Dose
Escalation Study of Oral
APX3330 in Subjects
with Advanced Solid
Tumors

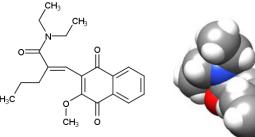
IND approved July 16, 2016
IU IRB approved Aug 22, 2016

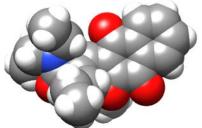


Schematic of APX3330. Groups to be further investigated include the

- (A) Quinone series,
- (B) 3-Position series,
- (C) Alkyl Sidechain series and
- (D) Carboxylic Acid/Amide series.





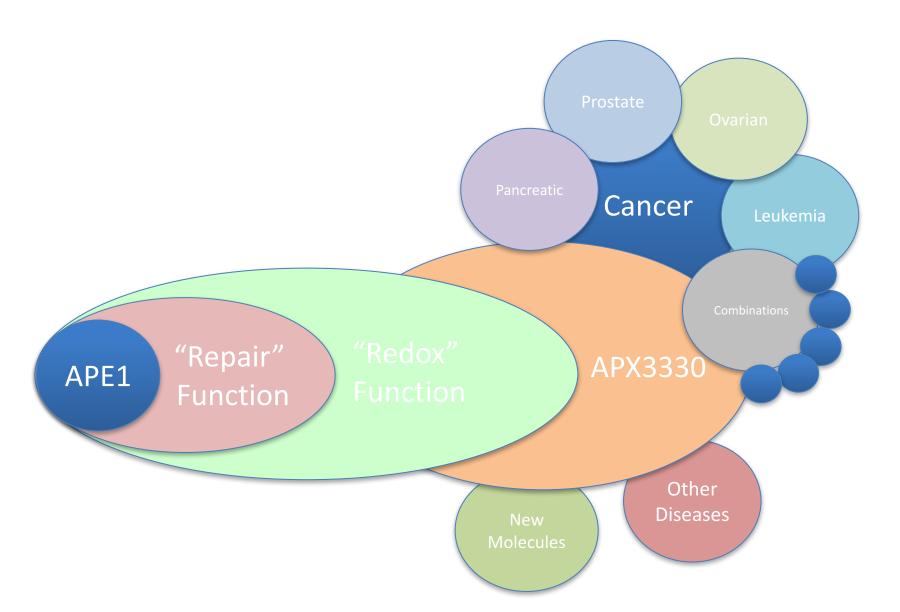


APX2009

Pipeline and Indications

IND **Preclinical Development Discovery** Phase 1 **Filing** Solid Tumors - Pancreatic Cancer **APX 3330** Liquid Tumors – ALL APX 3330 Chemo-Induced Peripheral Neuropathy APX 3330 **CURRENT PIPELINE** Age-Related Macular Degeneration APX 3330 APX3330 + Cancer - Multiple combinations Ape1/Ref-1 Diseases APX2009 APX2048 Discovery APX2050 Discovery APX2014 Discovery

Continuing to Follow the Science



Example pathways that are altered in low passage patient derived PDAC cells following APE1 knockdown and Fluidigm C1 single cell sorting-RNA seq analysis.

Table 1. Pathway affected by Ref-1 knockdown		p-value	
STAT3 Pathway	9	0.006	
HIF1 Signaling	17	0.002	
ERK/MAPK Signaling	25	0.003	

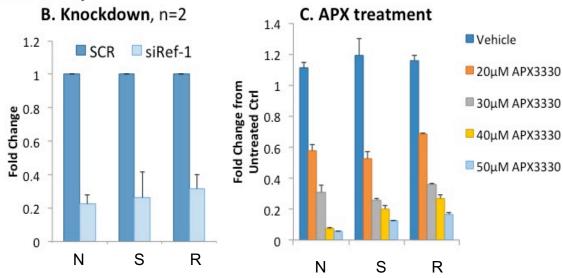
Validation of Single Cell KD &

Potential Use for Drug Development/Specificity

A. Identification of Ref-1 biomarkers using Single Cell RNAseq:

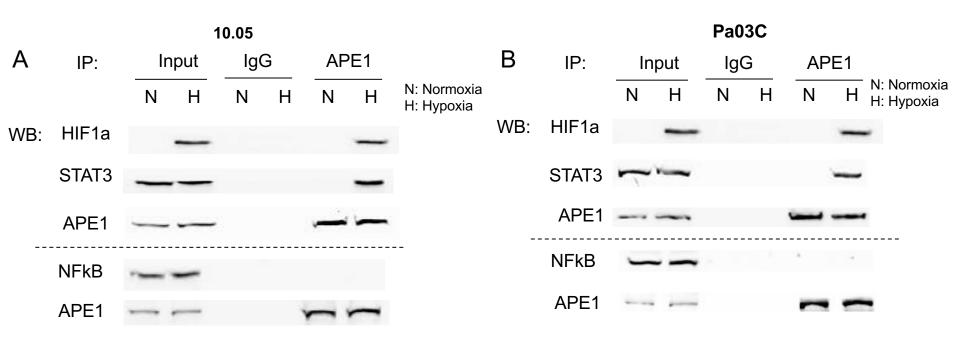
sc RNAseq	N	S	R
Fold change from SCR	0.06	0.01	0.10
p value	4.3x10 ⁻⁸	2.78x10 ⁻⁶	8.14x10 ⁻⁷

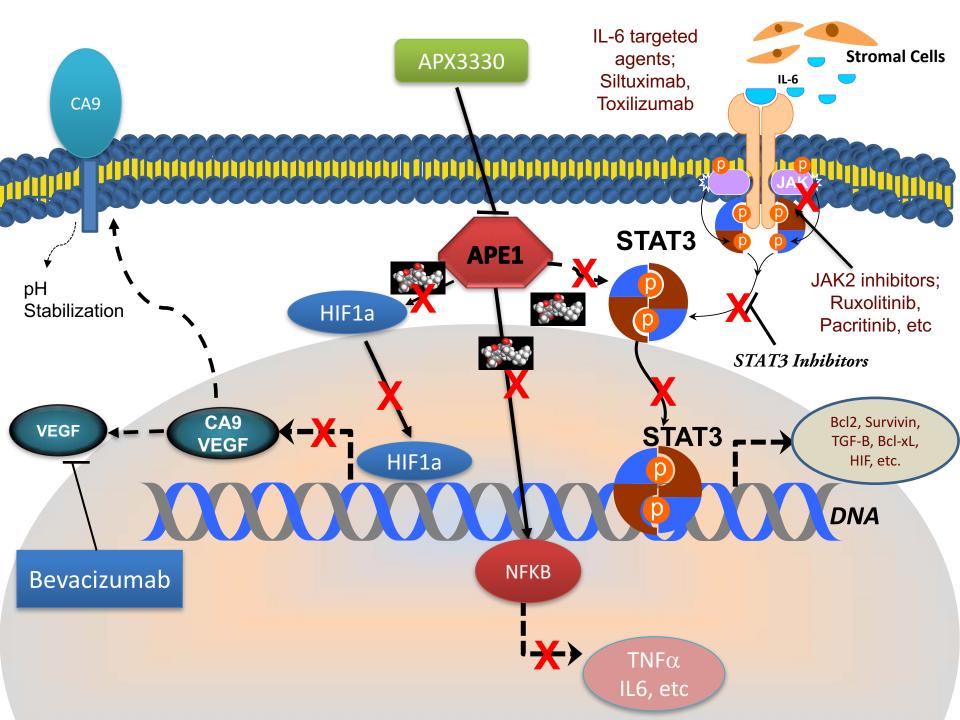
Validation by:

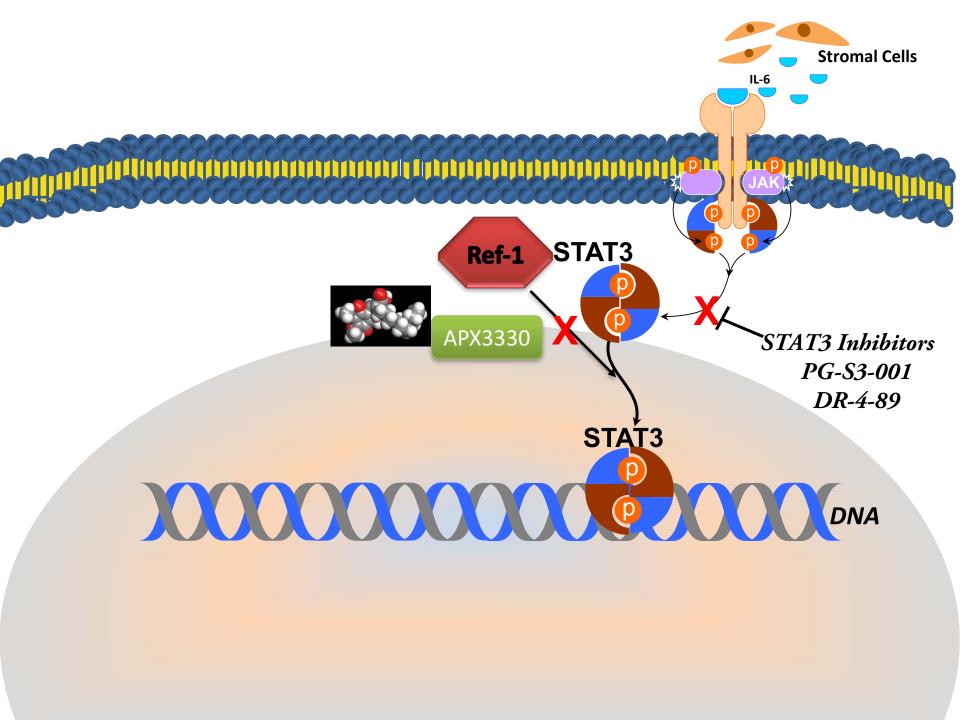


APE1 Complexes with HIF1α & STAT3 under Hypoxia

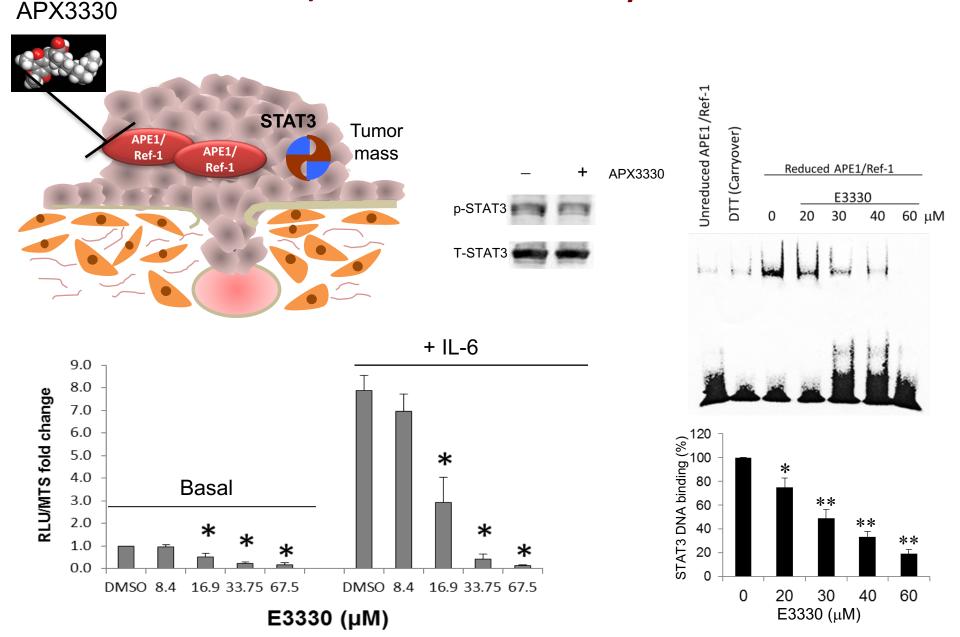
Endogenous APE1

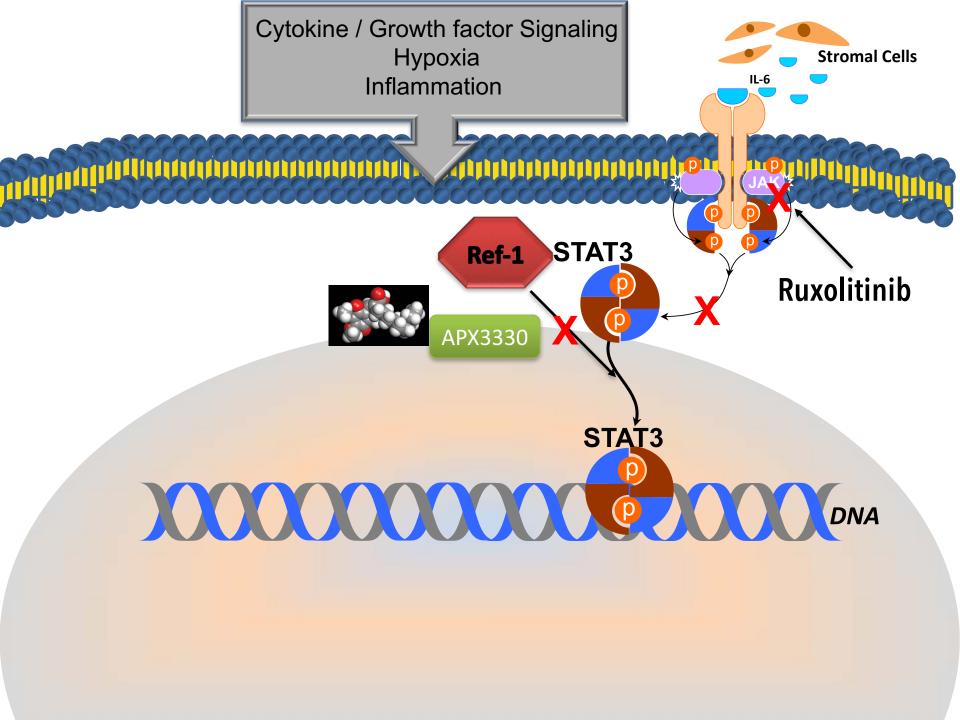




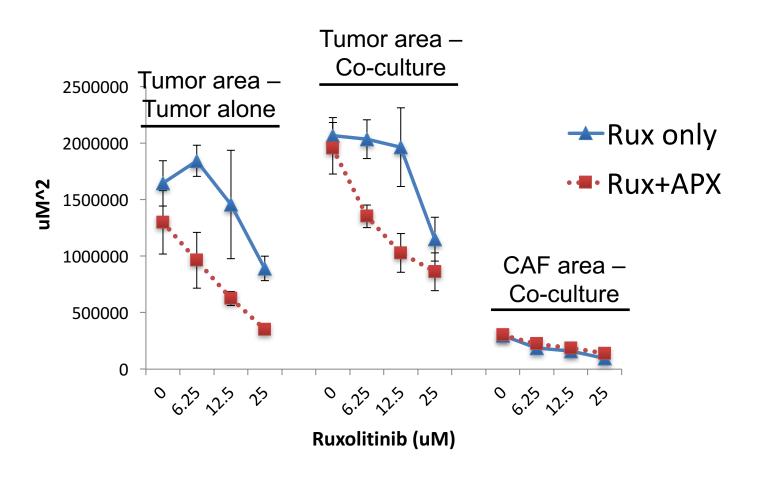


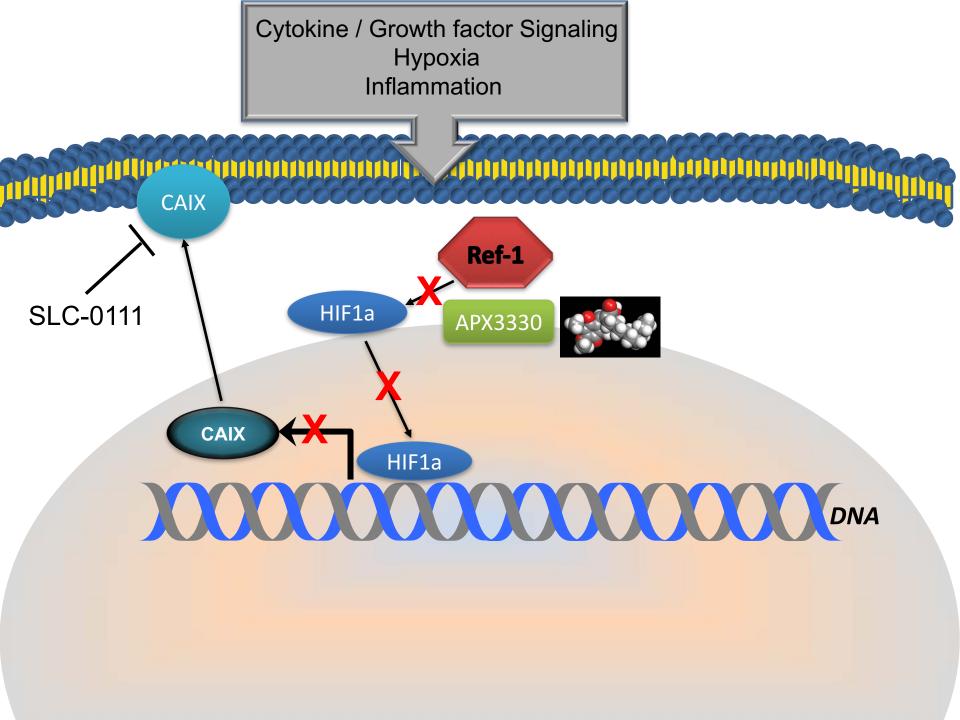
STAT3 DNA binding is redox sensitive and can be stimulated by APE1/Ref-1....and inhibited by APX3330



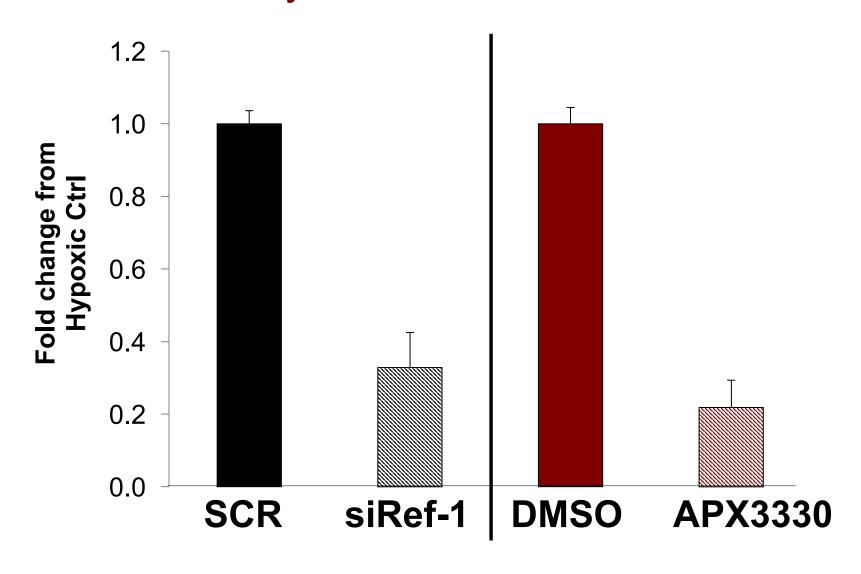


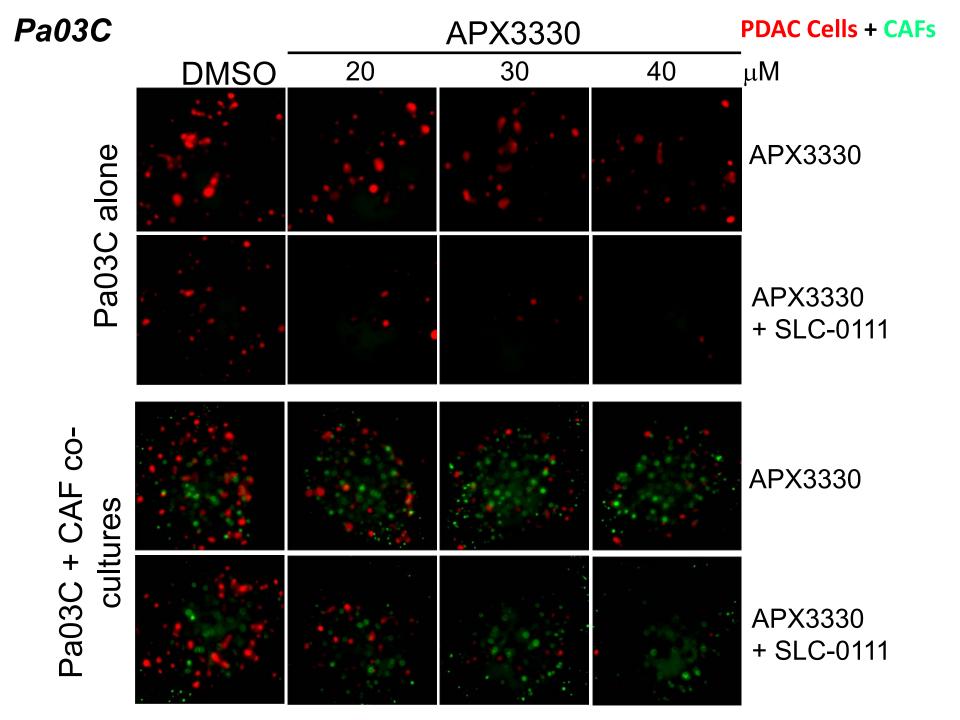
Pa03C cells in 3D: Combo Ref-1 inhibition + Jak2 inhibition





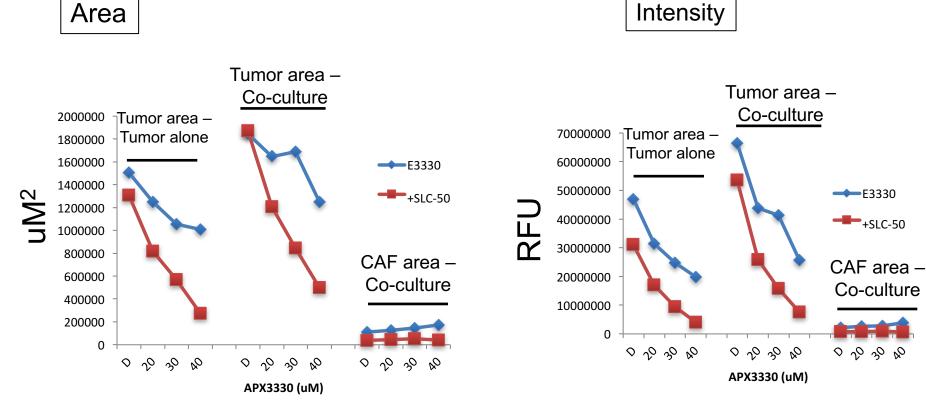
Hypoxia-Induced CA9 mRNA: Inhibiton by Ref-1 KD and APX3330

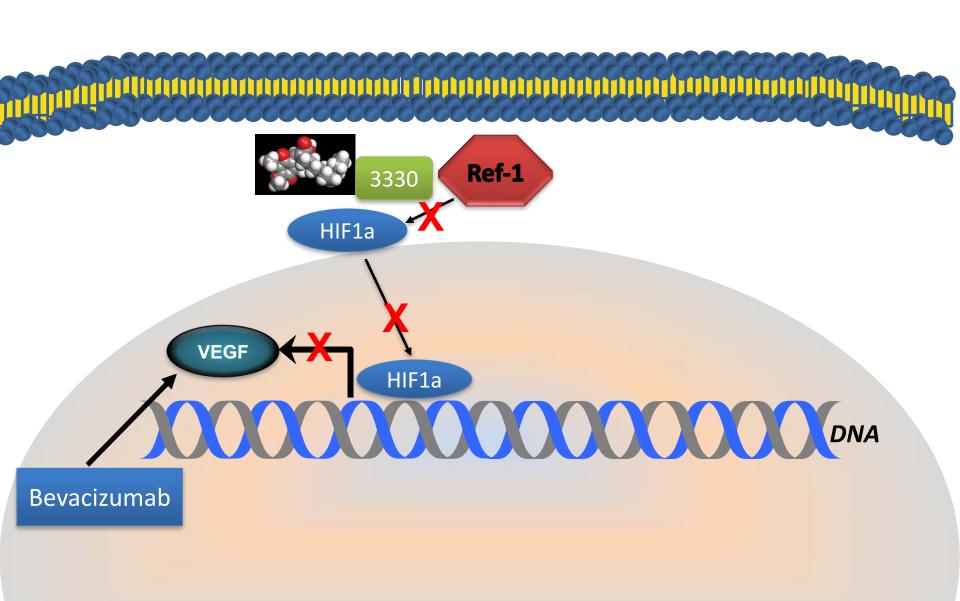


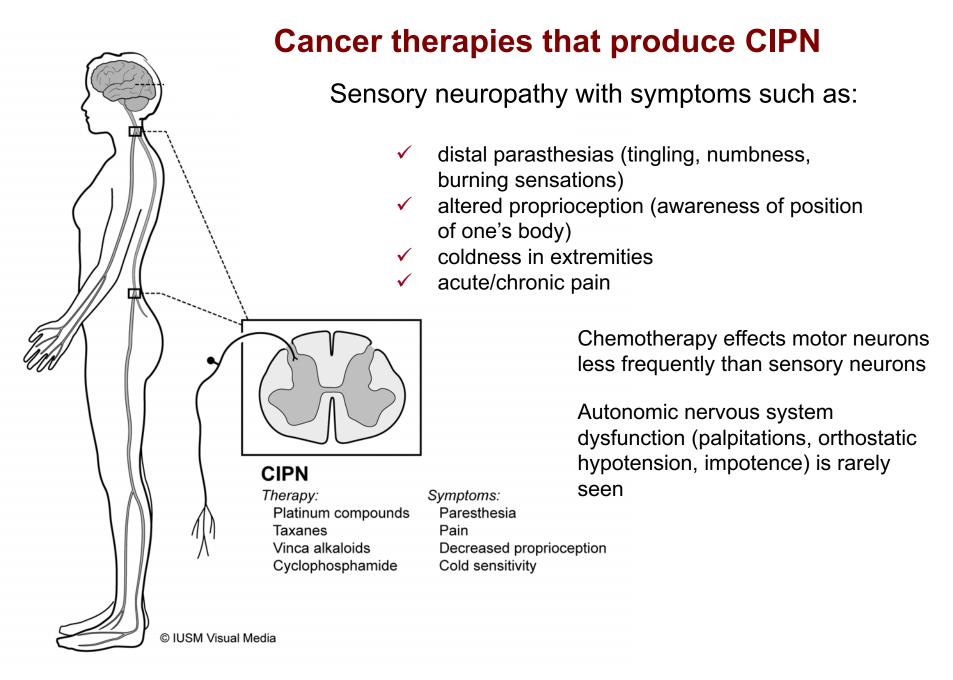


Pa03C cells in 3D:

Combo Ref-1 inhibition + CA9/12 inhibition









Chemotherapy Induced Peripheral Neuropathy (CIPN)

Drugs Associated with CIPN

- Platinum compounds (cisplatin, carboplatin, oxaliplatin)
- Vincristine
- Taxanes (docetaxel, paclitaxel)
- Epothilones (ixabepilone)
- Bortezomib (CIPN occurs in 37%–44% of patients with multiple myeloma)
- Thalidomide (CIPN develops in 20%–40% of patients)
- Lenalidomide

Overall, 40% of patients receiving cisplatin and taxol develop CIPN!

A cross-sectional study of patients with testicular cancer re-evaluated **23–33 years** after finishing treatment showed that CIPN remains detectable in up to 20% of patients, being symptomatic in 10% of them.

The combination of 5-FU and oxaliplatin is frequently used in patients with gastrointestinal cancer, and 92% of patients develop sensory CIPN.

Patients used analogies to describe symptoms (Tanay *et al.* 2016)

- 'Severe buring in fingertips', 'Like putting them (fingers) on hot stove',
- 'A strip of numbness across fingers' (Boehmke & Dickerson 2005)
- 'Like fingernails on a chalkboard', 'Pain like needle stuck in my toes'
- (Bakitas 2007)
- 'Walking on hot coals', 'Walking on a rock on the bottom of your feet',
 'Sandpaper at the bottom of your feet', 'Something crawling'
- (Tofthagen 2010b)
- 'Walking in mud', 'Bunched up socks', 'Walking on sandpaper',
- 'Getting a cast off', 'Blob of numbness', 'Feet are asleep'
- (Speck et al. 2012)

Uses of platinum agents in **pediatric oncology:**

Cisplatin and Carboplatin are used in:

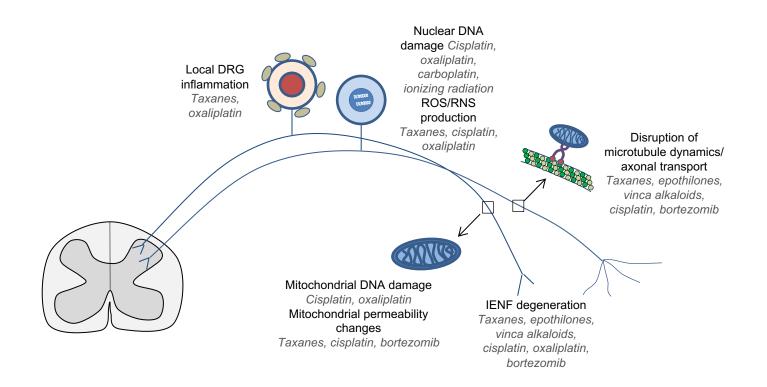
Neuroblastoma
Germ cell tumors
Osteosarcoma
Hepatoblastoma
Brain tumors
Retinoblastoma

Estimates of the number of **adult** patients treated annually with either **cisplatin**, **oxaliplatin or carboplatin** are approximately > **200,000** a year:

- ✓ 50,000 patients with metastatic colorectal cancer
- ✓ 20,000 with stage III colon cancer
- √ 12,000 with pancreatic cancer
- √ 25,000 with gastroesophogeal, and
- √ 10,000 with head and neck cancer.
- √ 4,000 with ovarian cancer
- ✓ etc

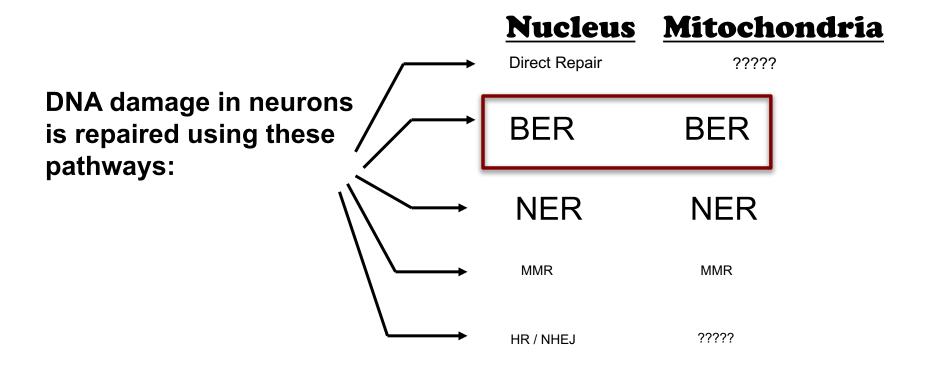
Oxaliplatin is not used.

Putative sites of neuronal dysfunction following specific anticancer drug treatments, indicated in italics.



DNA Repair in Neurons



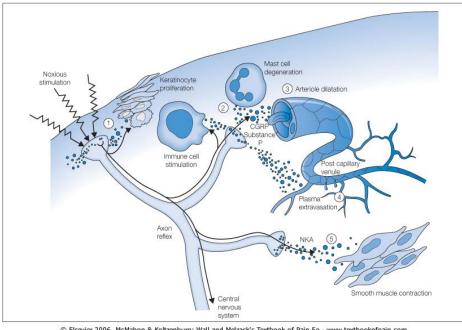


Fishel, ML., Vasko, MR. and Kelley, MR. (2006) DNA repair in neurons: So if they don't divide what's to repair? Invited and peer-reviewed review. *Mutation Research* 614(1-2); 24-36.

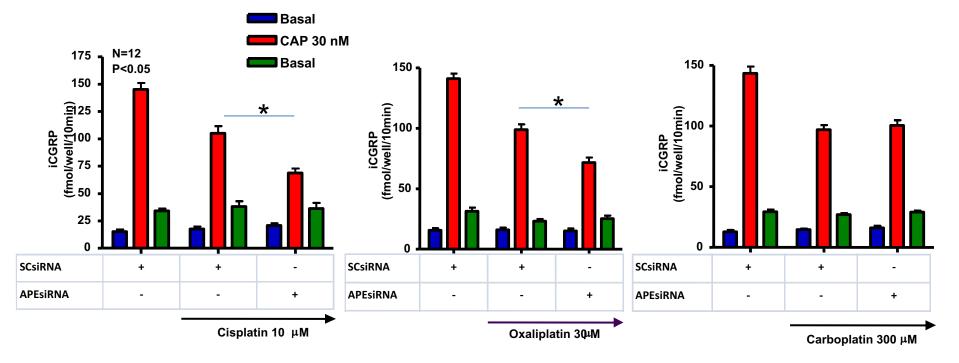
Oxidative DNA damage and crosslinks induced by the platinum drugs

	Cisplatin	Oxaliplatin	Carboplatin
Oxidative DNA Damage	Yes	Yes	No
	High	Moderate	
Type of Crosslink	Intra-strand	Inter-strand	Intra -strand
	predominant	predominant	predominant
	Pt-d(GpG)	Pt-d(GpG)	Pt-d(GpG)
	(1,2-intrastand) >90%	(1,2-intrastand)	(1,2-intrastand) >90%
	Pt-d(ApG) (1, 2-interstrand crosslink)	Pt-d(ApG) (1,2- interstrand crosslink) >90%	Pt-d(ApG) (1,2-interstrand crosslink)

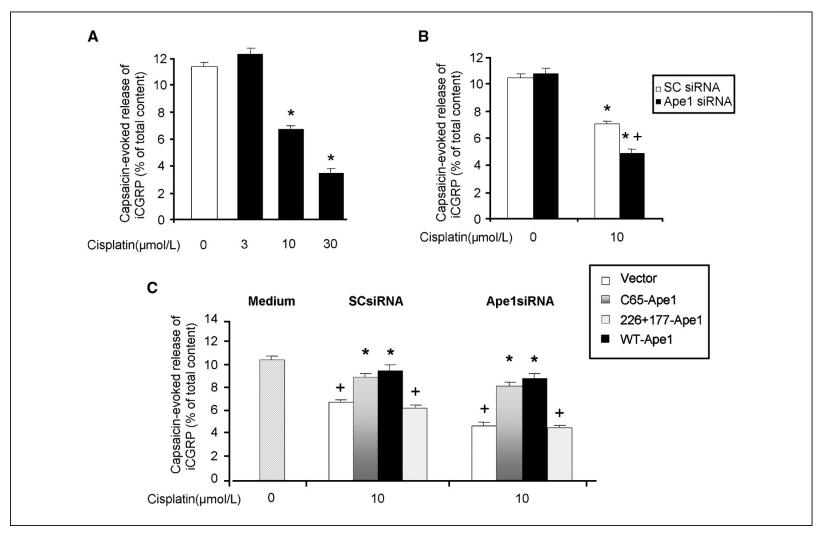
APE1 knockdown effect on cisplatin, oxaliplatin and carboplatin-induced iCGRP release in DRG cells



© Elsevier 2006. McMahon & Koltzenburg: Wall and Melzack's Textbook of Pain 5e - www.textbookofpain.com

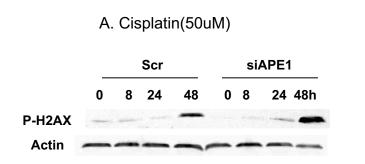


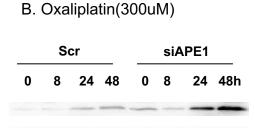
Effect of altered Ape1 levels on cisplatin-induced iCGRP release from sensory neuronal cells.

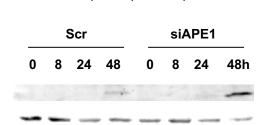


Yanlin Jiang et al. Cancer Res 2008;68:6425-6434

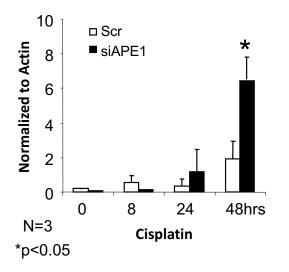
Effect of knocking Ape1 down on cisplatin, oxaliplatin and carboplatin-induced DNA damage (p-H2AX) in DRG cells

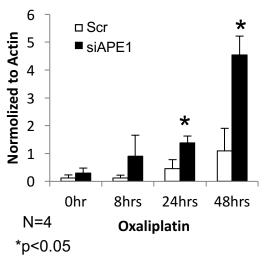


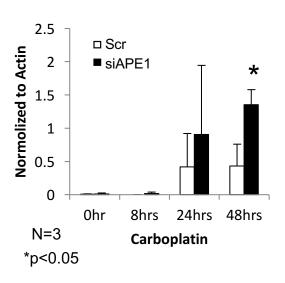




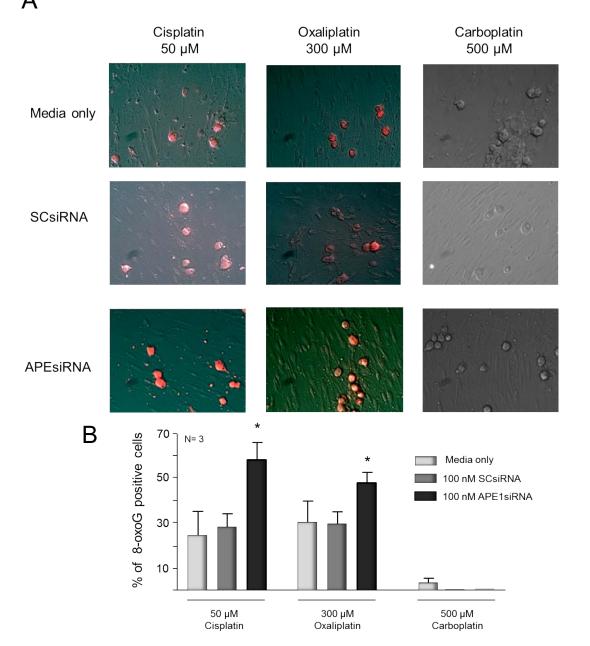
C. Carboplatin(500uM)



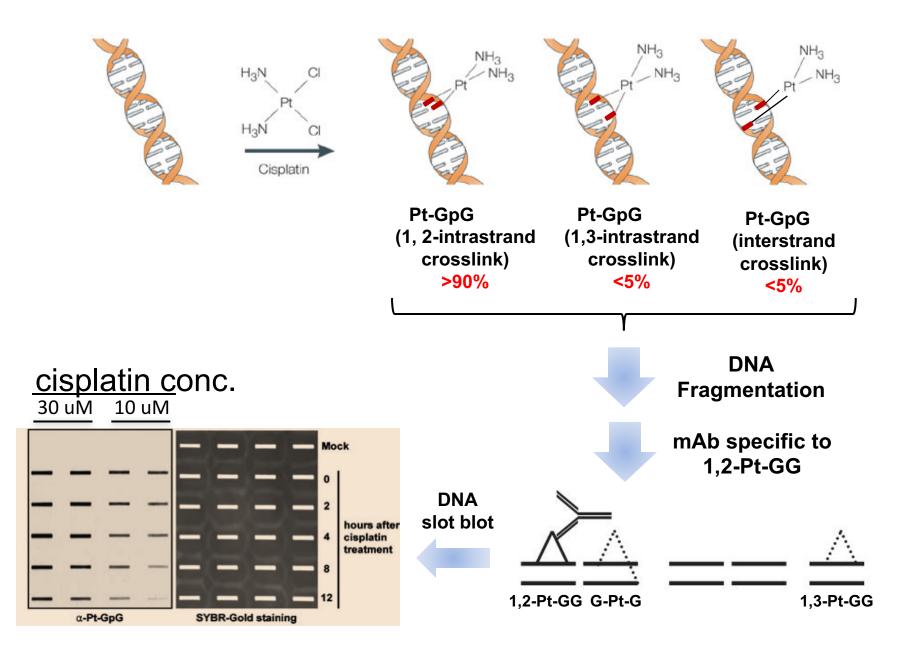




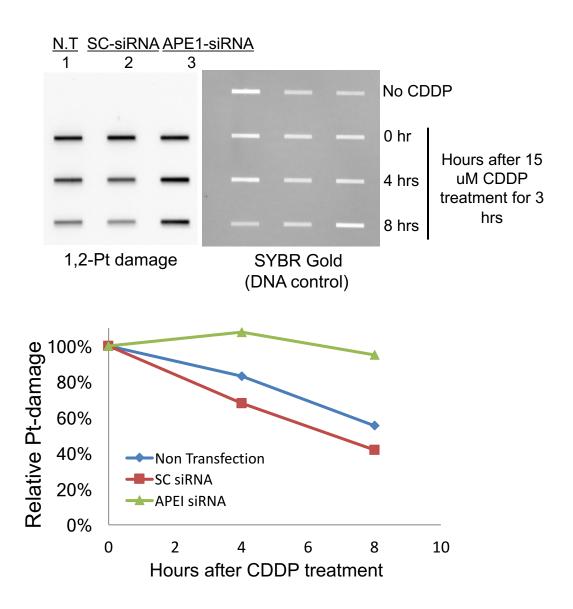
80xoG levels in DRG Neuronal Cultures following APE1 KD and cisplatin, oxaliplatin or carboplatin treatments



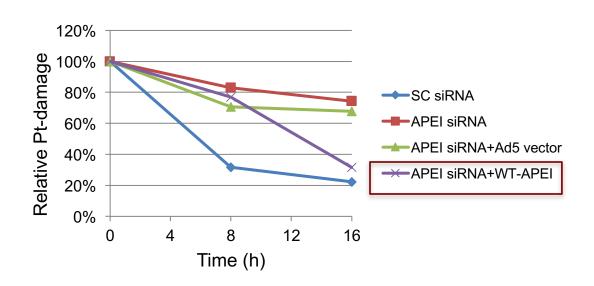
Assessment of Cisplatin-Induced DNA damage

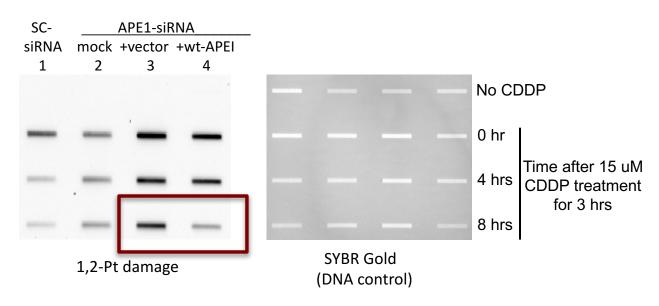


Targeted inhibition of APE1 expression in rat neuronal cells significantly reduces removal of Pt-damage

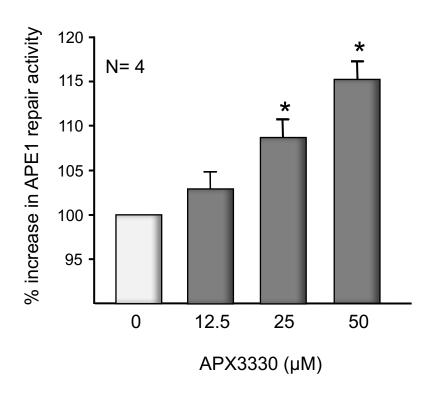


Add-back of wt-APE1 restores repair of 1,2-Pt-GpG damage in DRG cells treated with Ape1-siRNA





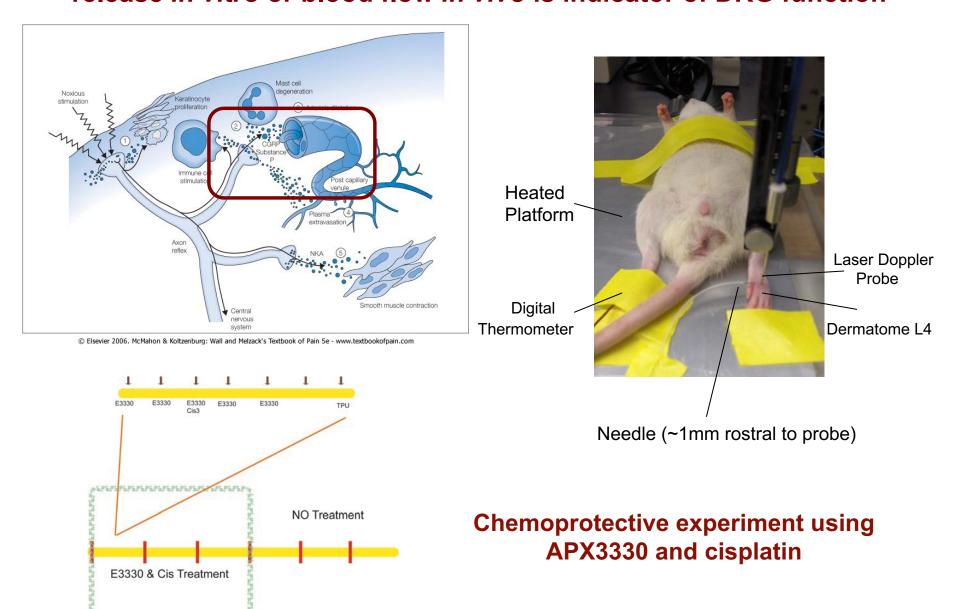
APX3330 enhances APE1 endonuclease DNA repair activity in DRG cells



Each column is the mean \pm SEM of the percent increase in APE1 endonuclease activity using the established AP endonuclease assay. An asterisk indicates a statistically significant difference between cultures treated with vehicle and those treated with E3330 using Student's *t*-test.

Kelley MR, Jiang Y, Guo C, Reed A, Meng H, Vasko MR. (2014) Role of the DNA base excision repair protein, APE1 in cisplatin, oxaliplatin, or carboplatin induced sensory neuropathy. *PlosOne*. Sept4;9(9):e106485. DOI: 10.1371/journal.pone.0106485. PMCID: PMC4154694

Peripheral blood flow is regulated by CGRP; i.e. measuring iCGRP release *in vitro* or blood flow *in vivo* is indicator of DRG function

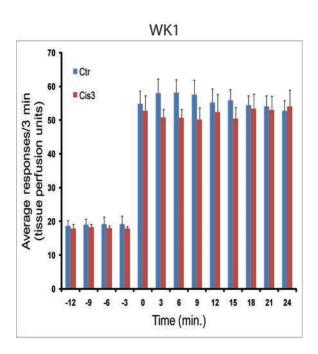


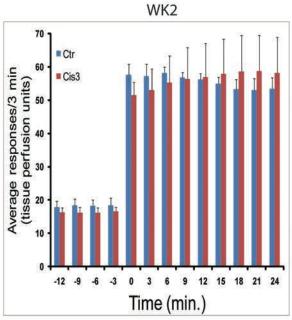
R3

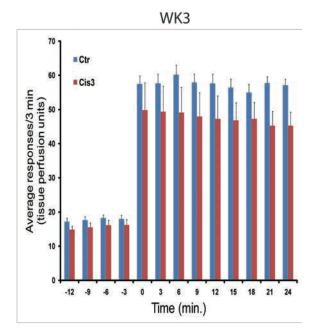
Wk1

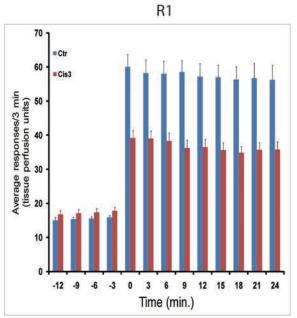
PARESTATURE PARESTATA PARESTA PARESTA

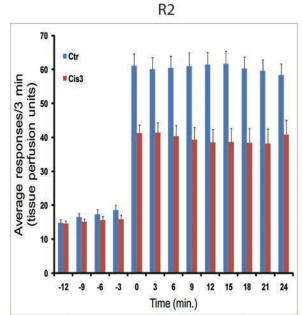
Cisplatin 3mg/kg





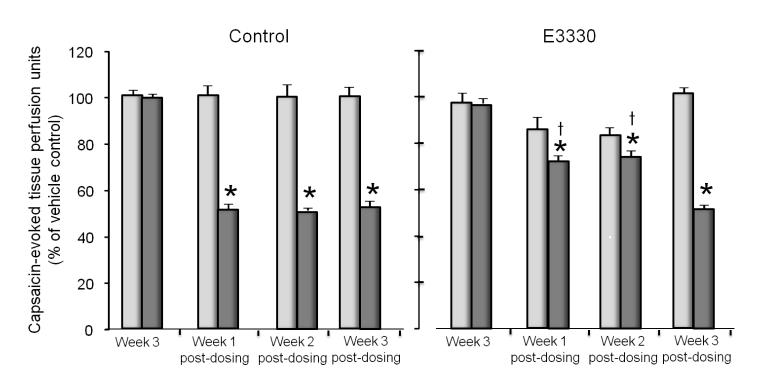




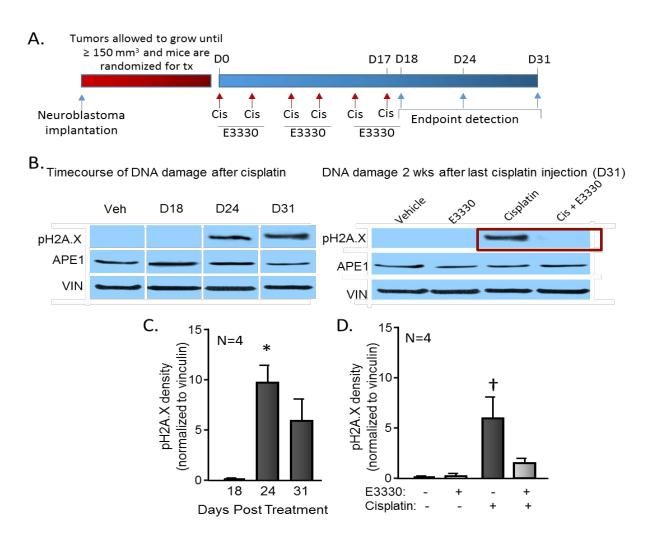


APX3330 treatment (25 mg/kg) can reverse cisplatin neurotoxicity in R1 and R2

☐ Vehicle ☐ Cisplatin (3 mg/kg)



APX3330 attenuates neurotoxicity induced by systemic administration of cisplatin to neuroblastoma tumorbearing mice



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