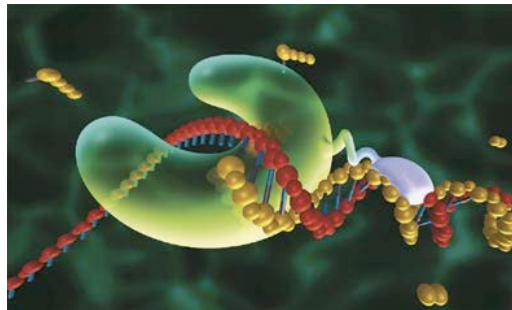


# Molecular Mechanisms of Aflatoxin-mediated Carcinogenesis: Implications for Hepatocellular Carcinogenesis

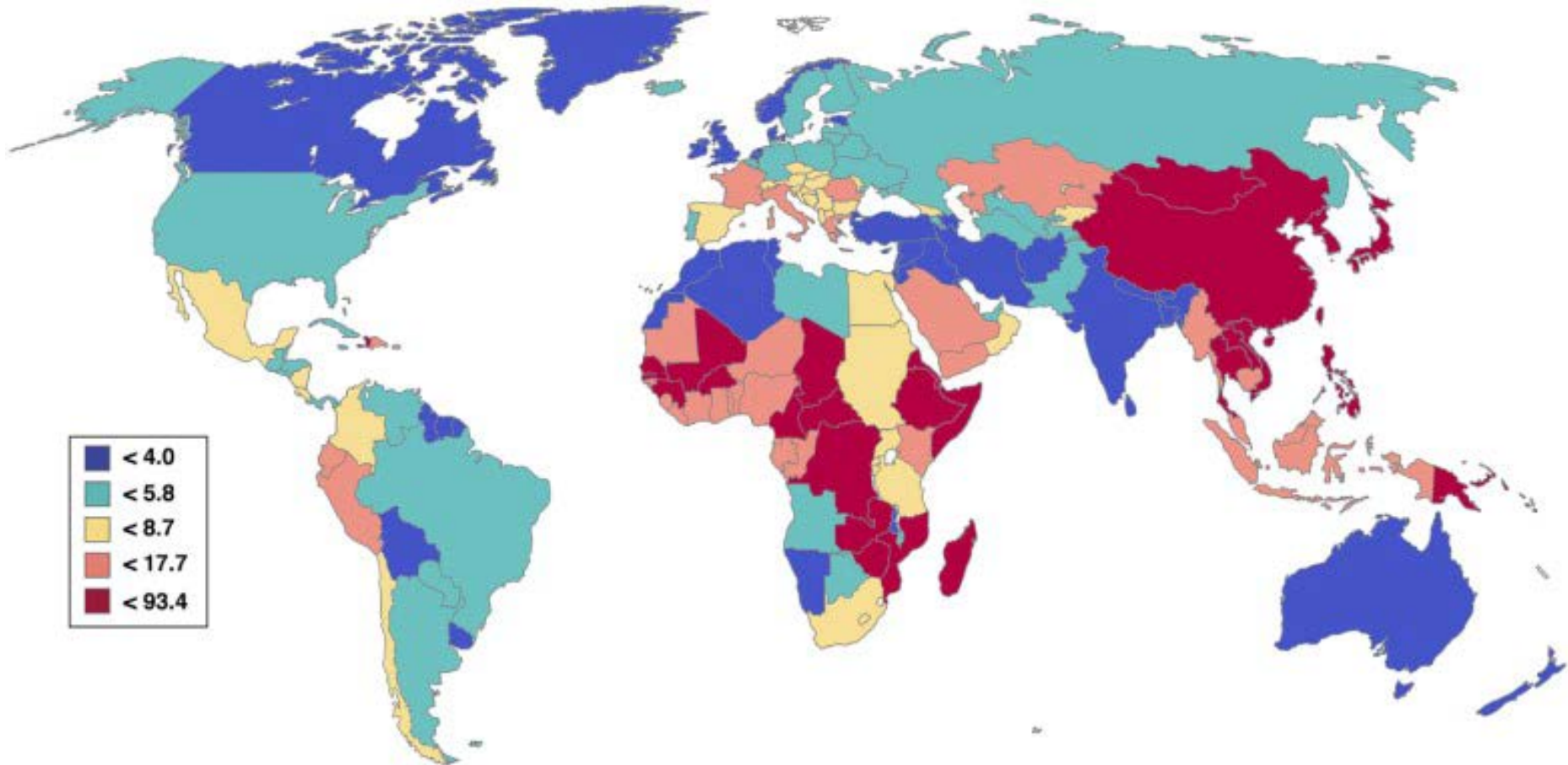


R. Stephen Lloyd  
Oregon Health & Science U.  
February 21, 2017  
NIH Video Conference



# The PROBLEM:

## Global incidence of hepatocellular carcinomas (HCC)

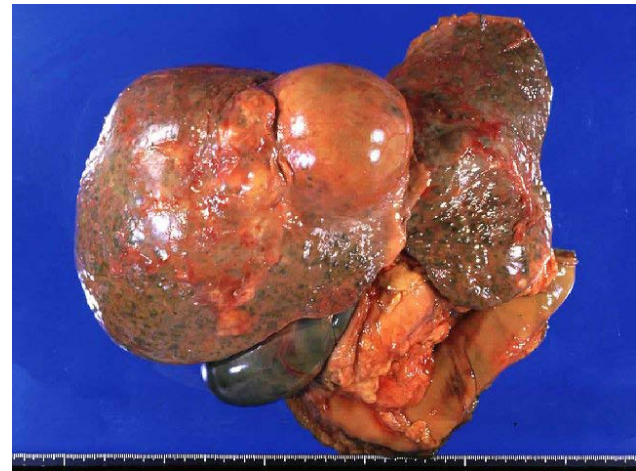
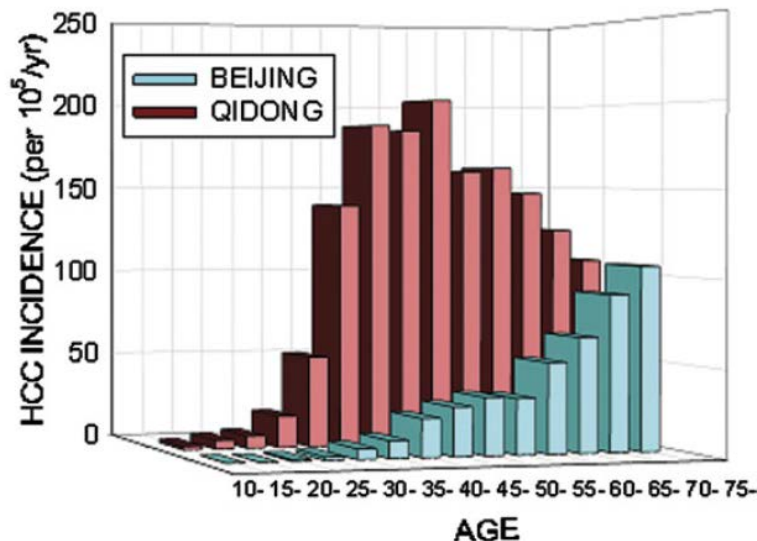


Regional variations in the mortality rates of HCC categorized by age-adjusted mortality rates. The rates are reported per 100,000 persons.

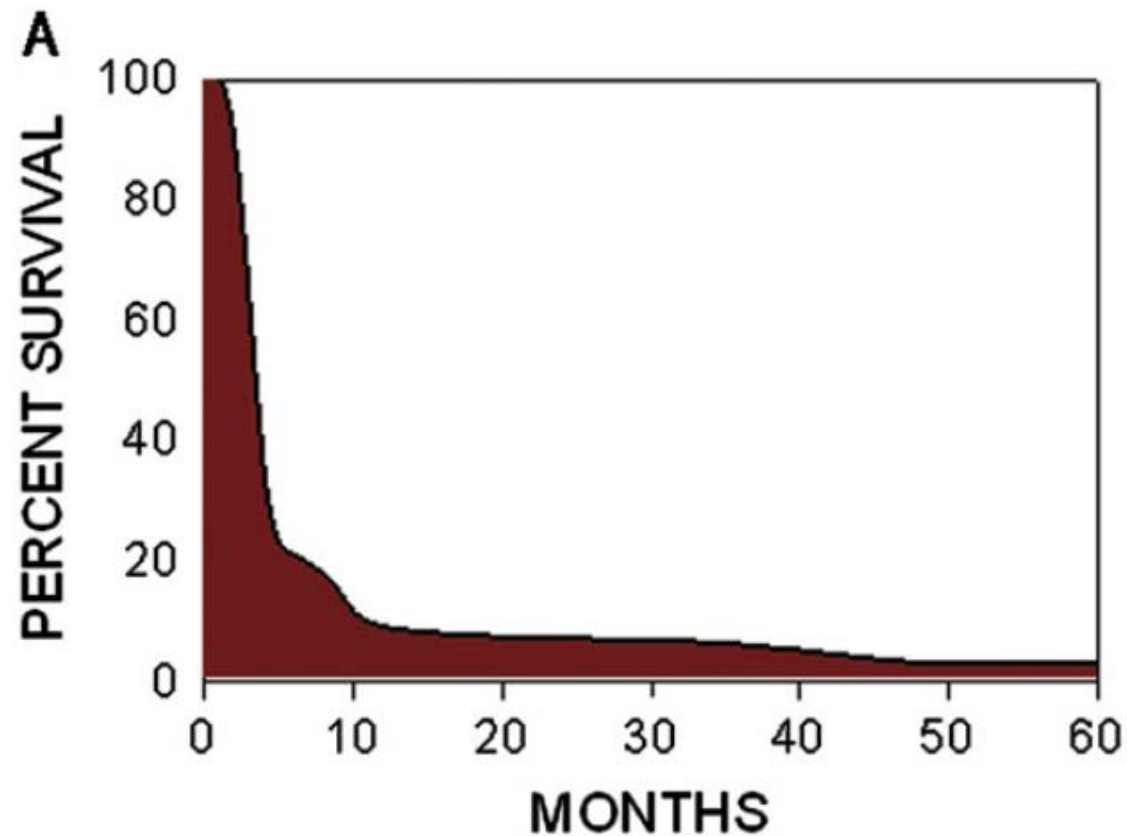
H.B. El-Serag & K.L. Rudolph  
Gastroenterology 2007;132:2557–2576

# HCC in the People's Republic of China

- ~700,000 new cases/yr
- ~300,000 deaths/yr
- Males 5x more likely to develop HCCs
- Age of onset: ~20 yr; peaks 40-49 (male), 50-59 (female)
- Bimodal age distribution in specific regions



# Early onset of HCC with poor prognosis



# Progressive understanding of the molecular mechanisms underlying geographically-enhanced HCC

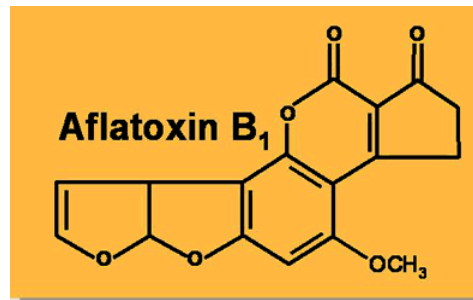
- Clue #1:

1950s-1960s outbreaks of “turkey X” disease – massive poultry deaths (~100,000) from consumption of peanut meal contaminated with *Aspergillus flavus*



- Clue #2:

*Aspergillus flavus* produces a toxic substance: aflatoxin





# Progressive understanding of the molecular mechanisms underlying geographically-enhanced HCC (continued)

- Clue #3:  
Contamination of corn and peanuts with *Aspergillus flavus* is widespread

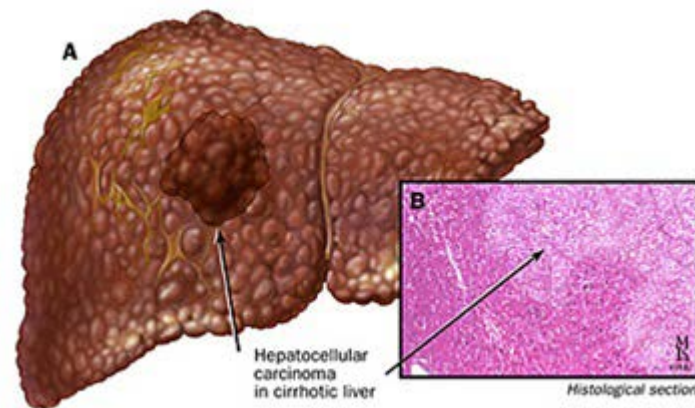
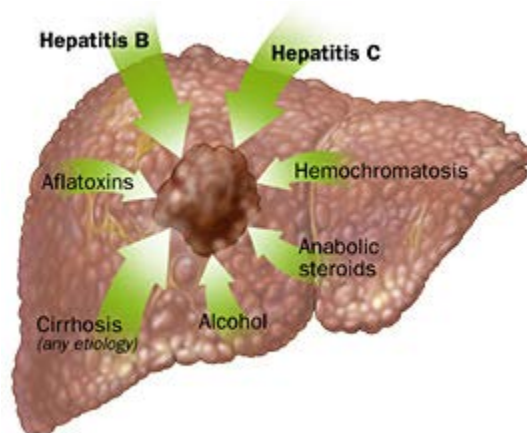


# Progressive understanding of the molecular mechanisms underlying geographically-enhanced HCC (continued)

- Clue #4:

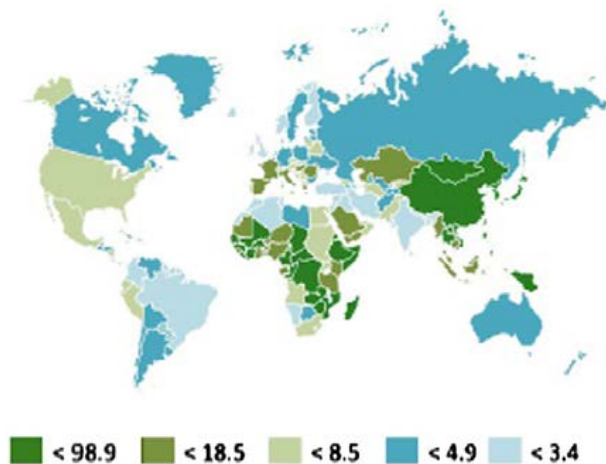
World-wide epidemiology studies link HCC with aflatoxin (AFB<sub>1</sub>) exposures (as determined by AFB<sub>1</sub>-HSA adducts in blood, AFB<sub>1</sub> metabolites in urine, and AFB<sub>1</sub> DNA adducts)

World-wide epidemiology studies link HCC with chronic infections of hepatitis B and C

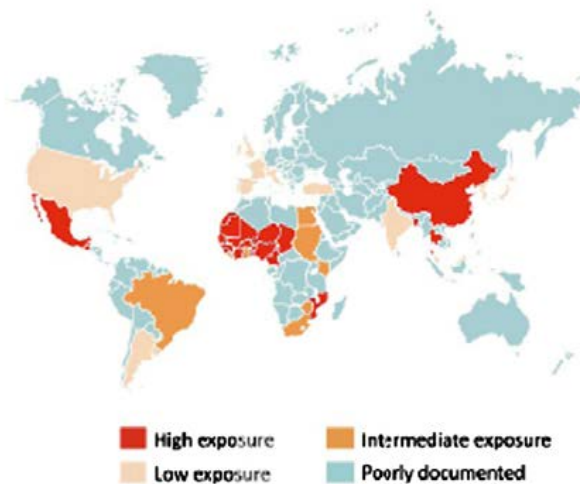


# Geographic distribution of HCC and aflatoxin exposure/hepatitis carriers

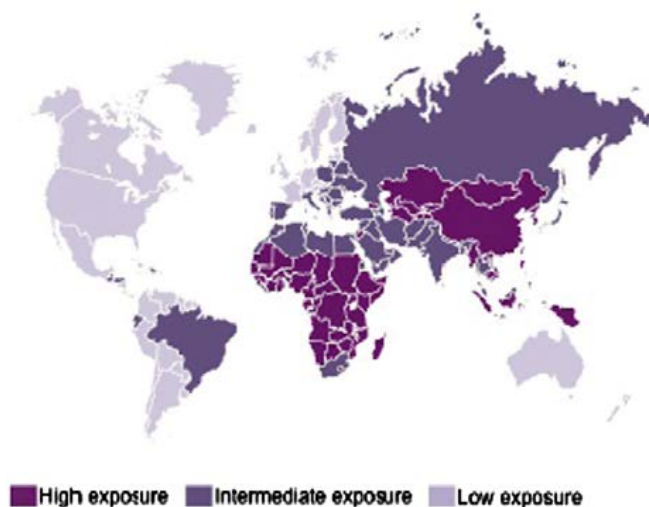
Incidence of primary hepatocellular carcinoma (HCC)



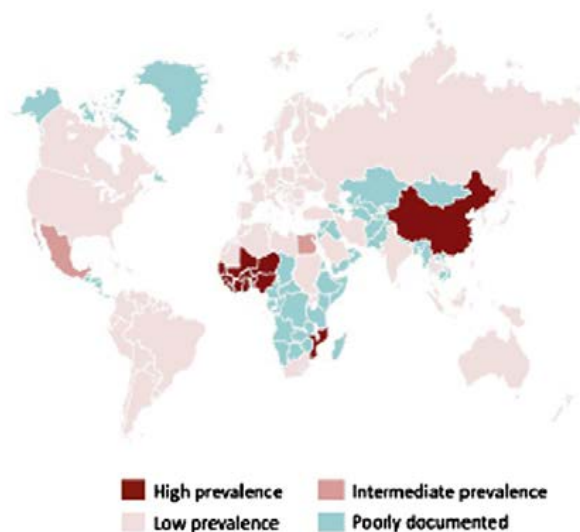
World aflatoxins exposure



World prevalence of hepatitis B carriers

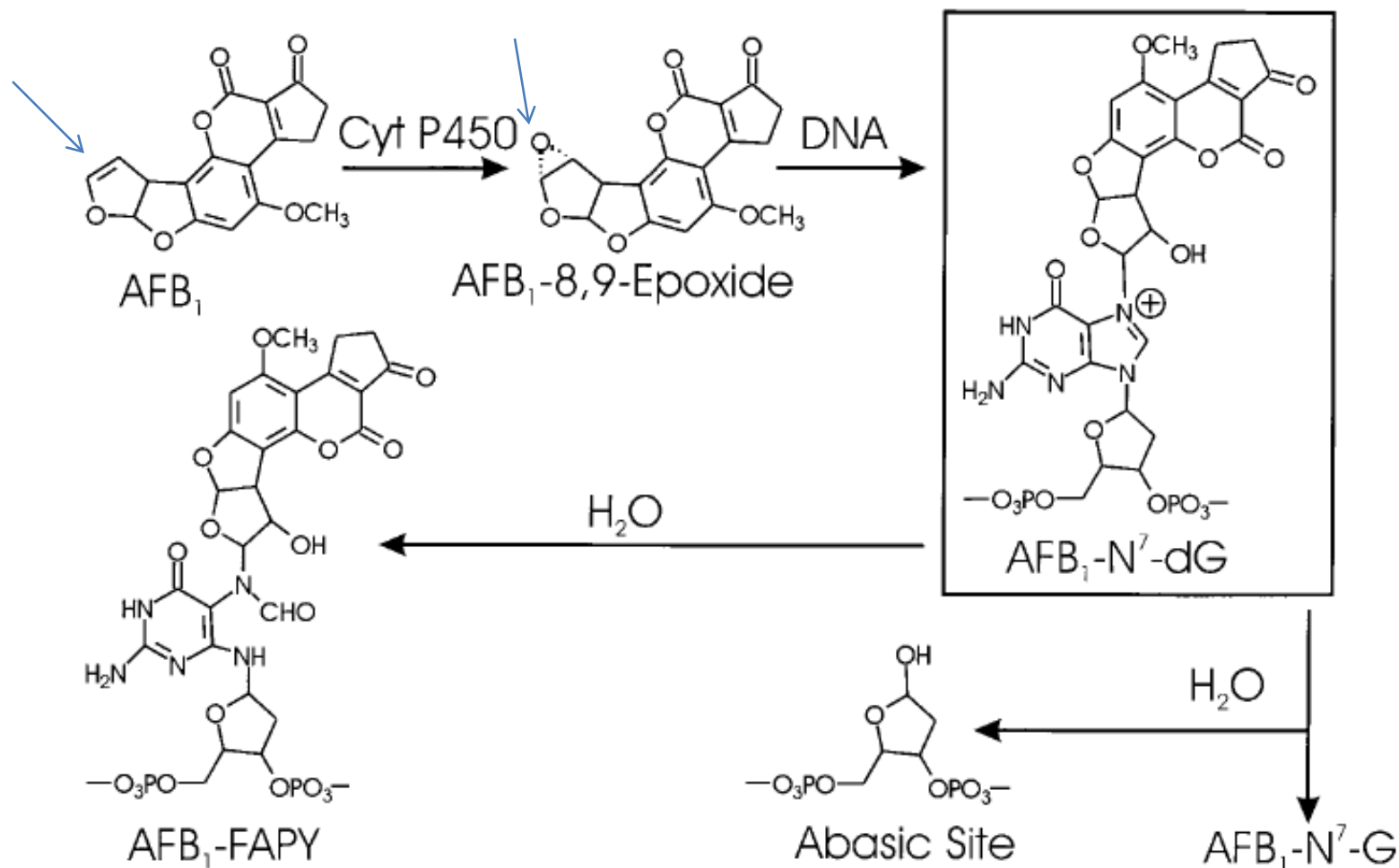


World prevalence of R243S

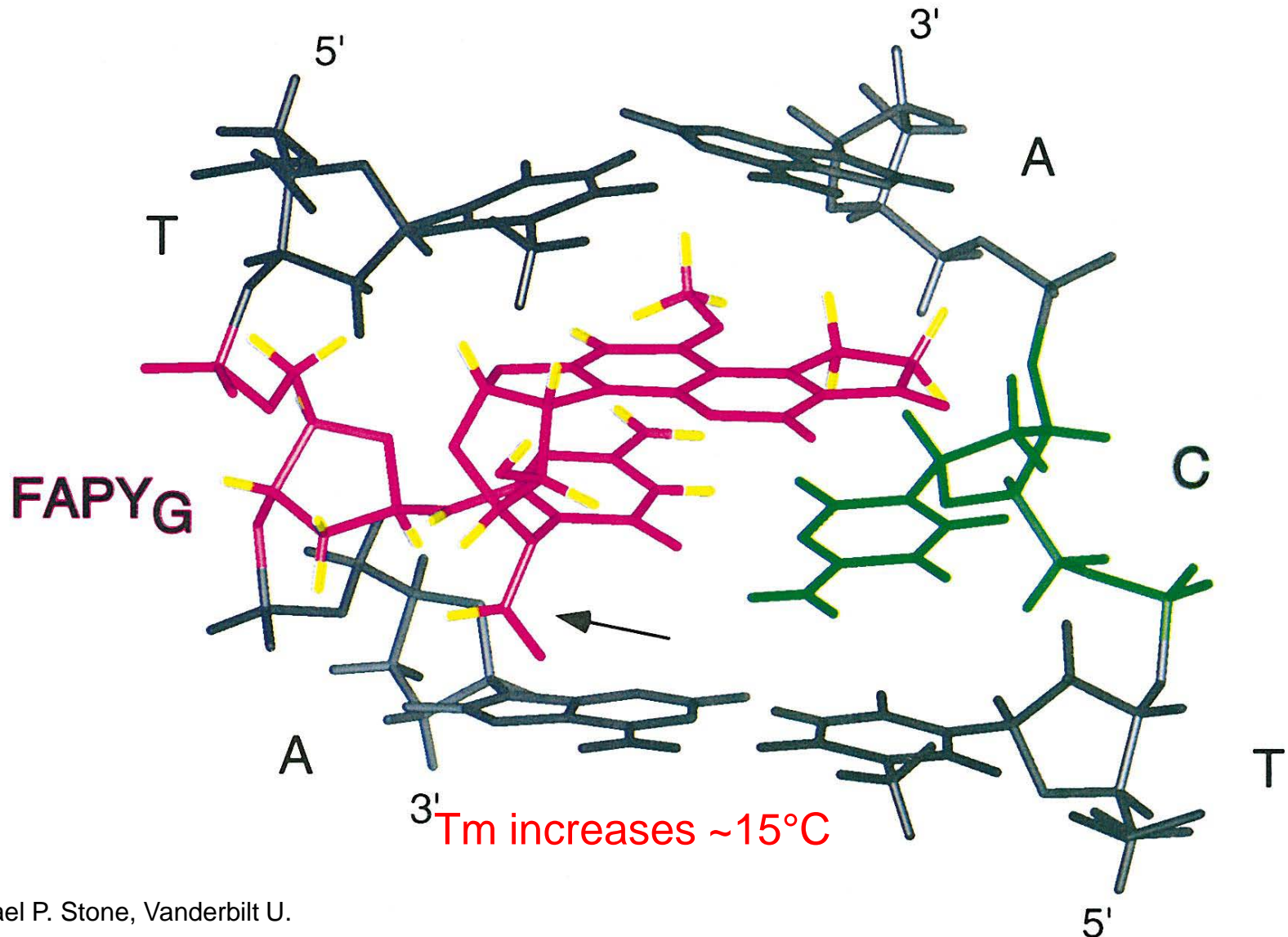




# Metabolic activation of AFB<sub>1</sub> to form DNA adducts



# AFB<sub>1</sub> adducts intercalate within and stabilize duplex DNA



# Insights into the mutagenic potential of AFB<sub>1</sub>

- G to T mutations at the third position of codon 249 of the p53 gene is found in >50% of HCC cases examined from high AFB<sub>1</sub> contaminated areas
- In *E.coli*, AFB<sub>1</sub>- N7-dG induces G to T mutations (4%)
- In *E.coli*, AFB<sub>1</sub>-FAPY induces G to T mutations (32%)
- AFB<sub>1</sub>-FAPY-dG is a more effective replication block than AFB<sub>1</sub>-N7-dG in *E. coli*
- Both AFB<sub>1</sub> DNA adducts are repaired by nucleotide excision repair in *E. coli*

# Goals of our investigation

- Determine the genetic consequences of replication past both the cationic N7-AFB<sub>1</sub>-dG and AFB<sub>1</sub>-FAPY-dG adducts in primate cells
- Identify the DNA polymerases that may account for error-free and error-prone replication past these adducts
- Explore alternative DNA repair mechanisms that could influence the mutagenic outcomes

# Goals of our investigation

- Determine the genetic consequences of replication past both the cationic N7-AFB<sub>1</sub>-dG and AFB<sub>1</sub>-FAPY-dG adducts in primate cells
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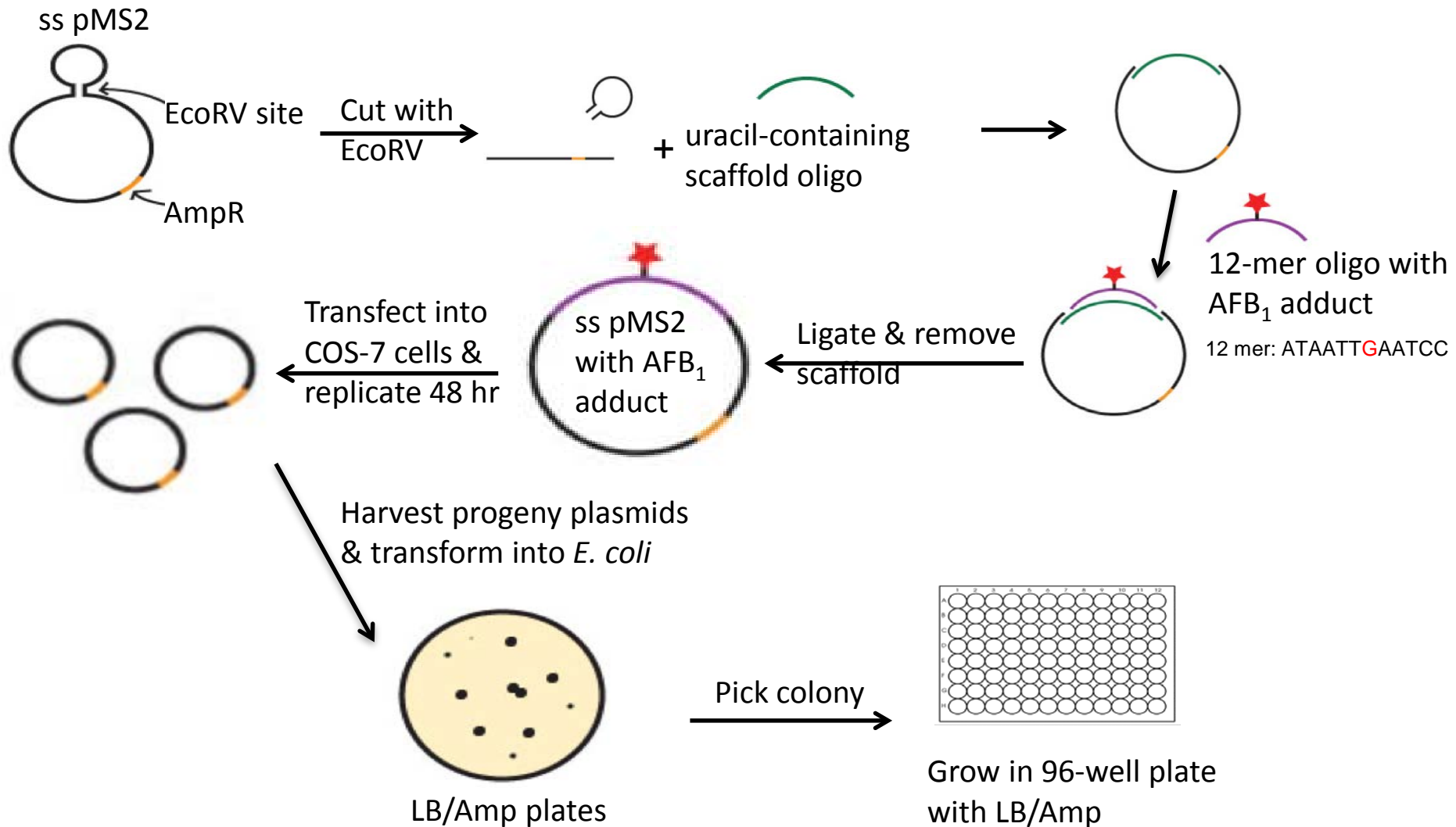


# Genetic consequences of replicating single-stranded DNAs containing either the cationic N7-AFB1-dG or AFB<sub>1</sub>-FAPY-dG adducts in primate cells

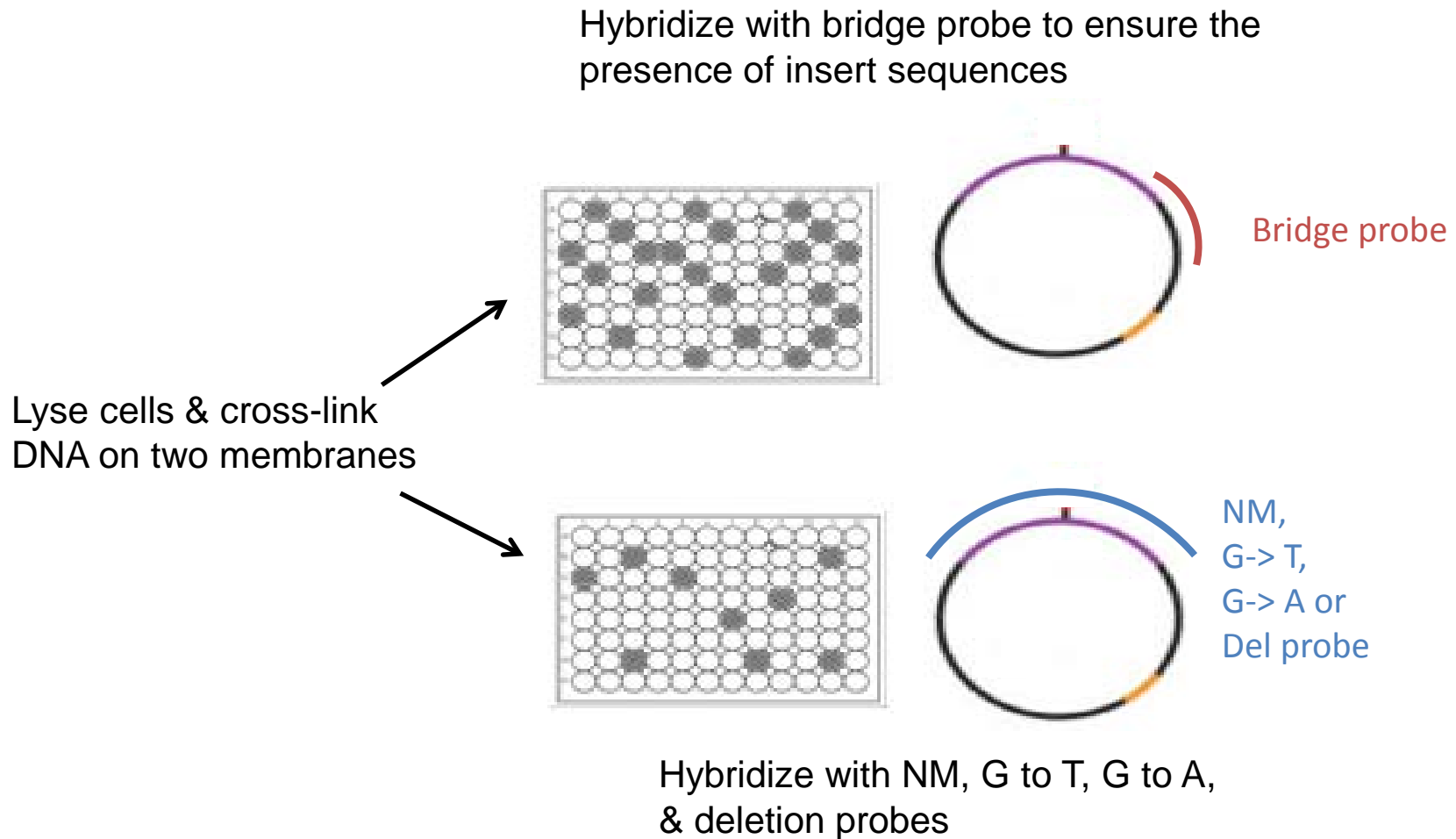
## Experimental rationale criteria:

1. Need DNAs of known sequence containing site-specific DNA adducts: Synthetic DNA synthesis – MP Stone, VU
2. Utilize a replication strategy that measures only the consequences of replication bypass of the adduct, not a combined effect of repair and replication: Use of single-stranded DNA shuttle vector that allows replication in primate cells but prevents repair; the resulting double-stranded DNAs can be analyzed in *E. coli* for mutations
3. Design a procedure that measures the consequence of replication in progeny DNAs: Use differential DNA hybridization and sequencing to screen for mutations

# Site-specific mutagenesis assay



# Analyses by differential DNA hybridization

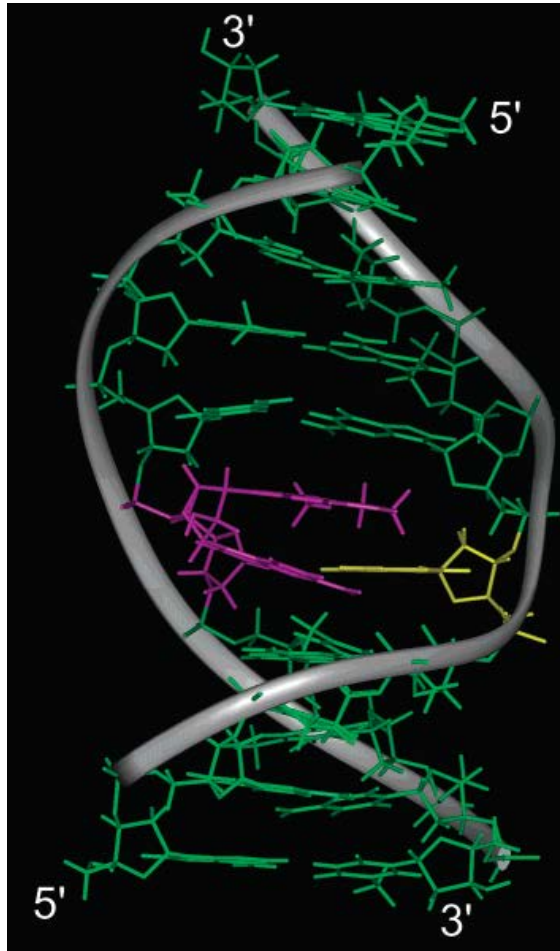


## Both AFB<sub>1</sub>- FAPY & AFB<sub>1</sub>-N7-dG adducts induce G to T mutations in primate cells

DNA modification	Colonies scored	Mutated	Single base substitutions			Deletions	Other position substitution	Frequency of mutation (%)
			G->T	G->A	G->C			
Nondamage	189	0	0	0	0	0	0	0
AFB <sub>1</sub> -N7-Gua	216	98	80 (81.6%)	12 (12.2%)	1 (1%)	3 (3.1%)	2 (2%)	45.4
AFB <sub>1</sub> -FAPY	203	197	170 (86.3%)	16 (8.1%)	5 (2.5%)	5 (2.5%)	1 (0.5%)	97

The high frequencies of G to T mutations are highly consistent with mutation data observed in patients with early onset HCC

# Solution structure of AFB<sub>1</sub>-FAPY-dG mismatched with dA





## Goals of our investigation

- Determine the genetic consequences of replication past both the cationic N7-AFB<sub>1</sub>-dG and AFB<sub>1</sub>-FAPY-dG adducts in primate cells
- Identify the DNA polymerases that may account for error-free and error-prone replication past these adducts
- Explore alternative DNA repair mechanisms that could influence the mutagenic outcomes

# DNA Replication Bypass of AFB<sub>1</sub>-FAPY-dG

Collaboration with Dr. Peter Burgers, Washington  
University, St. Louis

# AFB<sub>1</sub>-FAPY-dG blocks replicative pol $\delta$

5' -ATTATGCAGCGATAGAATAATTGAATCCATCGCTGGTACCGACTCG-3'

3' -GACCATGGCTGAGC-5' (-10 primer)

3' -TTAGGTAGCGACCATGGC-5' (-1 primer)

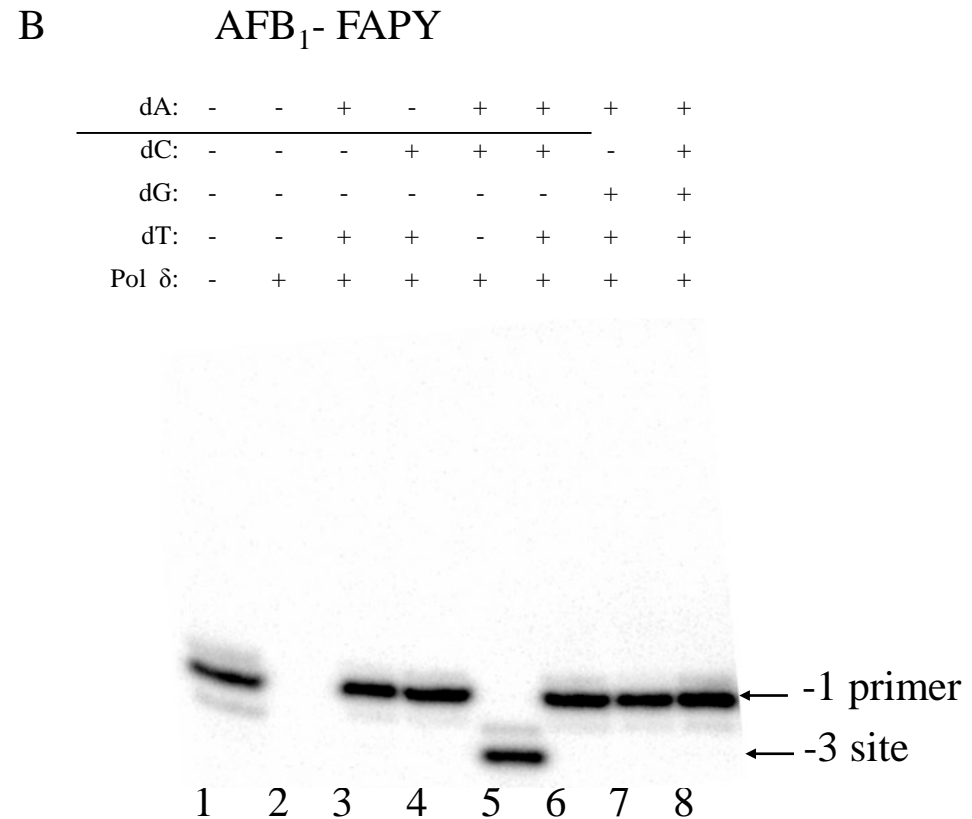
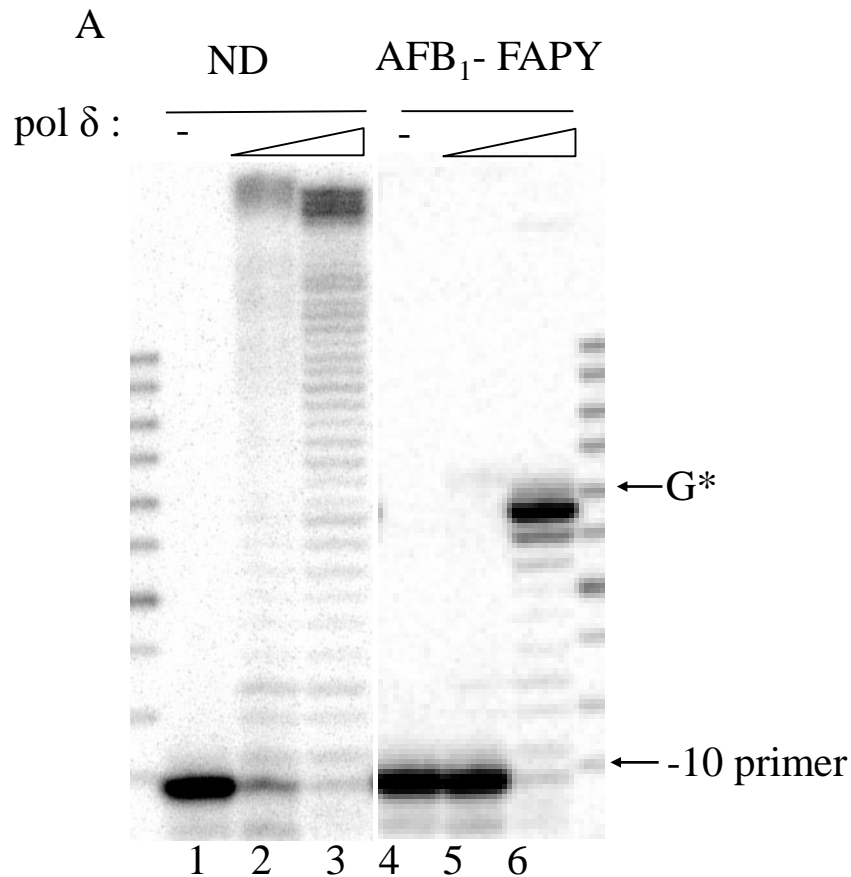

















Table 1 | Mammalian DNA polymerases

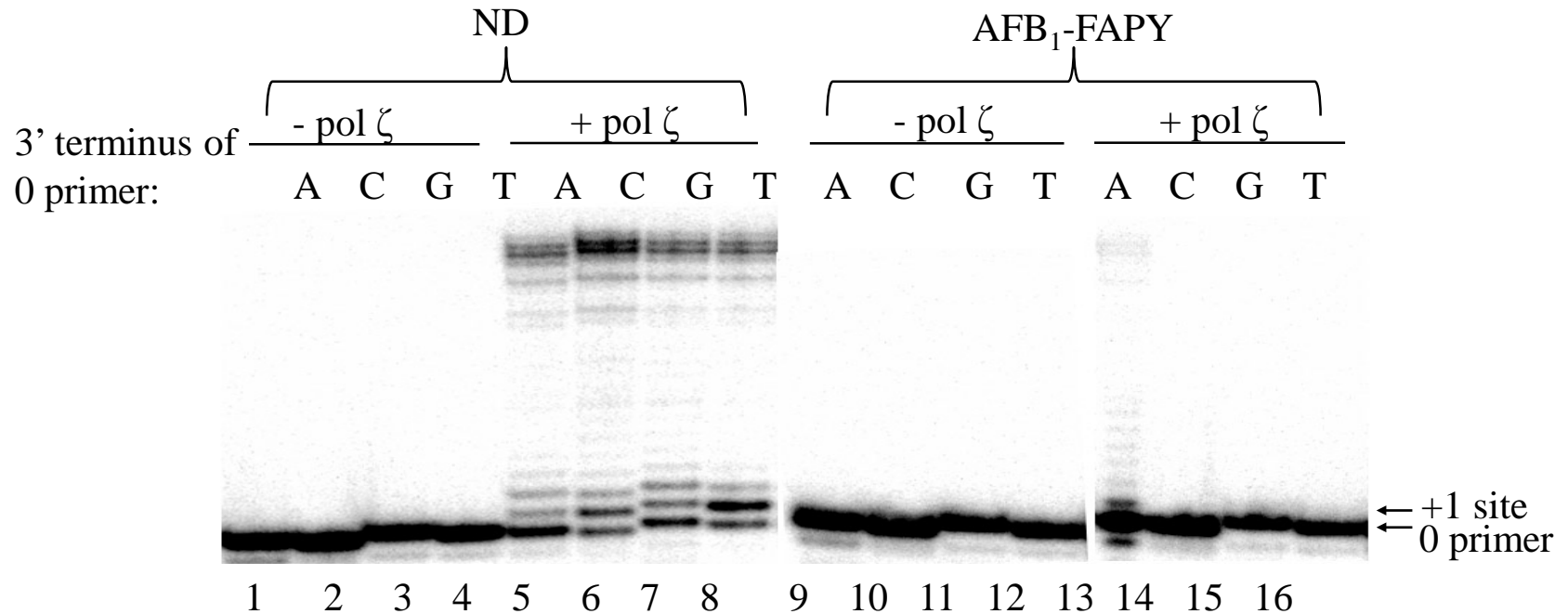
DNA polymerase	Catalytic subunit (gene, size of protein and protein domain structure* in humans)	Function	Family <sup>‡</sup>
Pol $\alpha$	<i>POLA1</i> (166 kDa) 	DNA replication priming	B
Pol $\delta$	<i>POLD1</i> (124 kDa) 	DNA replication, NER and MMR	B
Pol $\epsilon$	<i>POLE</i> (262 kDa) 	DNA replication, NER and MMR	B
Pol $\gamma$	<i>POLG</i> (140 kDa) 	Mitochondrial DNA replication and repair	A
Pol $\beta$	<i>POLB</i> (38 kDa) 	BER and meiotic recombination	X
Pol $\lambda$	<i>POLL</i> (63 kDa) 	V(D)J recombination; possibly end joining and BER	X
Pol $\mu$	<i>POLM</i> (55 kDa) 	V(D)J recombination; possibly end joining	X
TDT	<i>DNTT</i> (58 kDa) 	Immunoglobulin diversity at junctions of coding regions	X
Pol $\zeta$	<i>REV3L</i> (353 kDa) 	TLS and mutagenesis	B
REV1	<i>REV1</i> (138 kDa) 	TLS and mutagenesis, anchor for several DNA polymerases	Y
Pol $\eta$	<i>POLH</i> (78 kDa) 	Bypass of UV radiation-induced DNA adducts, especially CPDs	Y
Pol $\iota$	<i>POLI</i> (80 kDa) 	Backup enzyme for bypass of UV radiation-induced DNA adducts and BER	Y
Pol $\kappa$	<i>POLK</i> (99 kDa) 	Bypass of bulky adducts, backup enzyme for NER	Y
Pol $\theta$	<i>POLQ</i> (290 kDa) 	Defence against ionizing radiation-induced DNA damage	A
Pol $\nu$	<i>POLN</i> (100 kDa) 	ICL repair or testis-specific function?	A

# Pol $\zeta$ -mediated bypass of AFB<sub>1</sub>-FAPY-dG

5' -ATTATGCAGCGATAGAATAATTGAATCCATCGCTGGTACCGACTCG-3'

3' -TTAGGTAGCGACCATGGC-5' (-1 primer)

3' -NTTAGGTAGCGACCATGG-5' (0 primer)





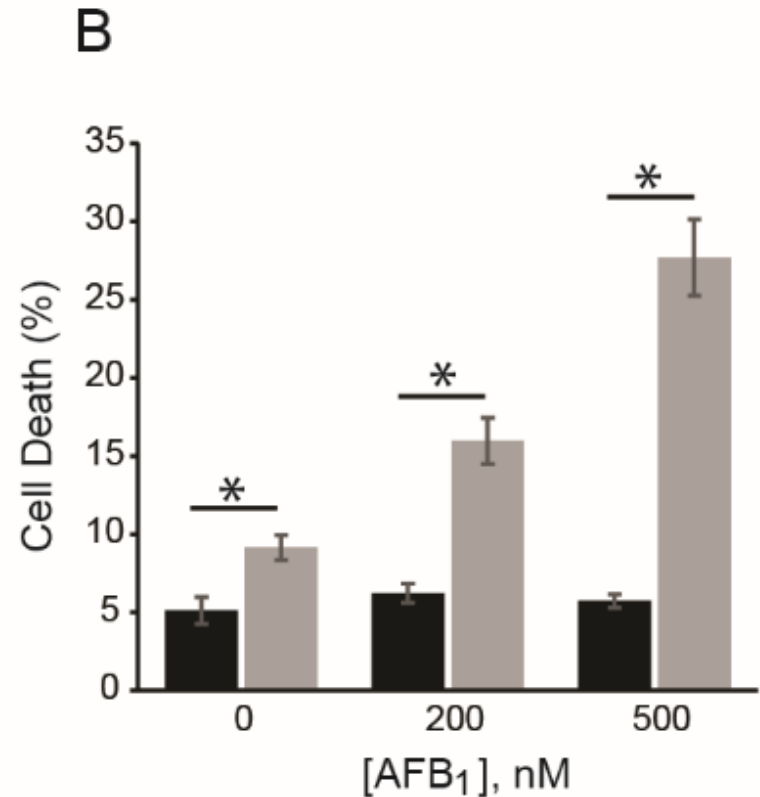
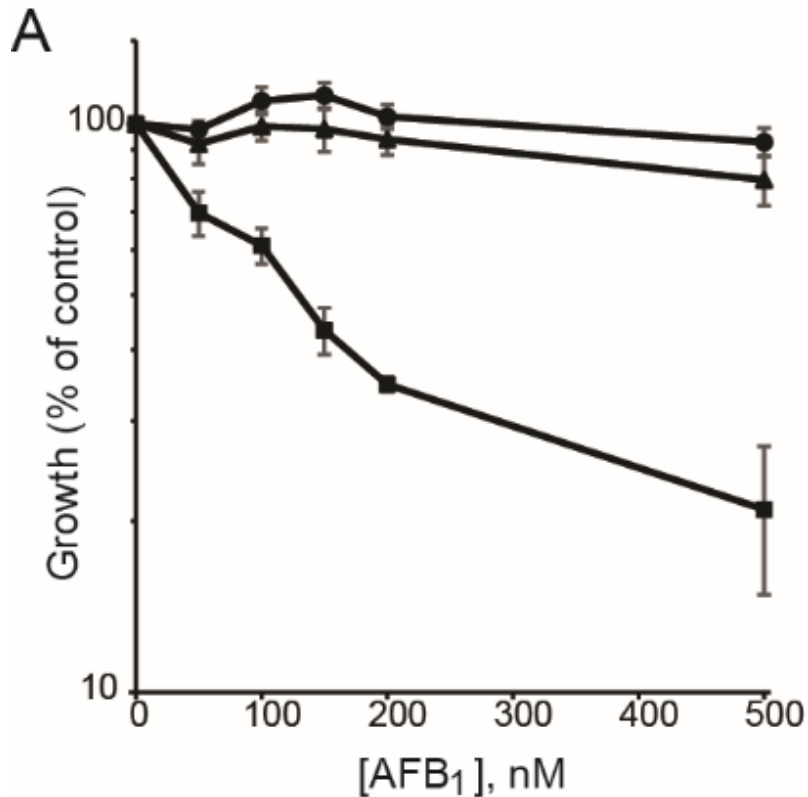
# Biological consequences of polymerase $\zeta$ -deficiency following aflatoxin exposure

- No other TLS polymerase was able to efficiently bypass AFB<sub>1</sub>-Fapy-dG adducts; although polymerase  $\kappa$  very low efficiency and fidelity

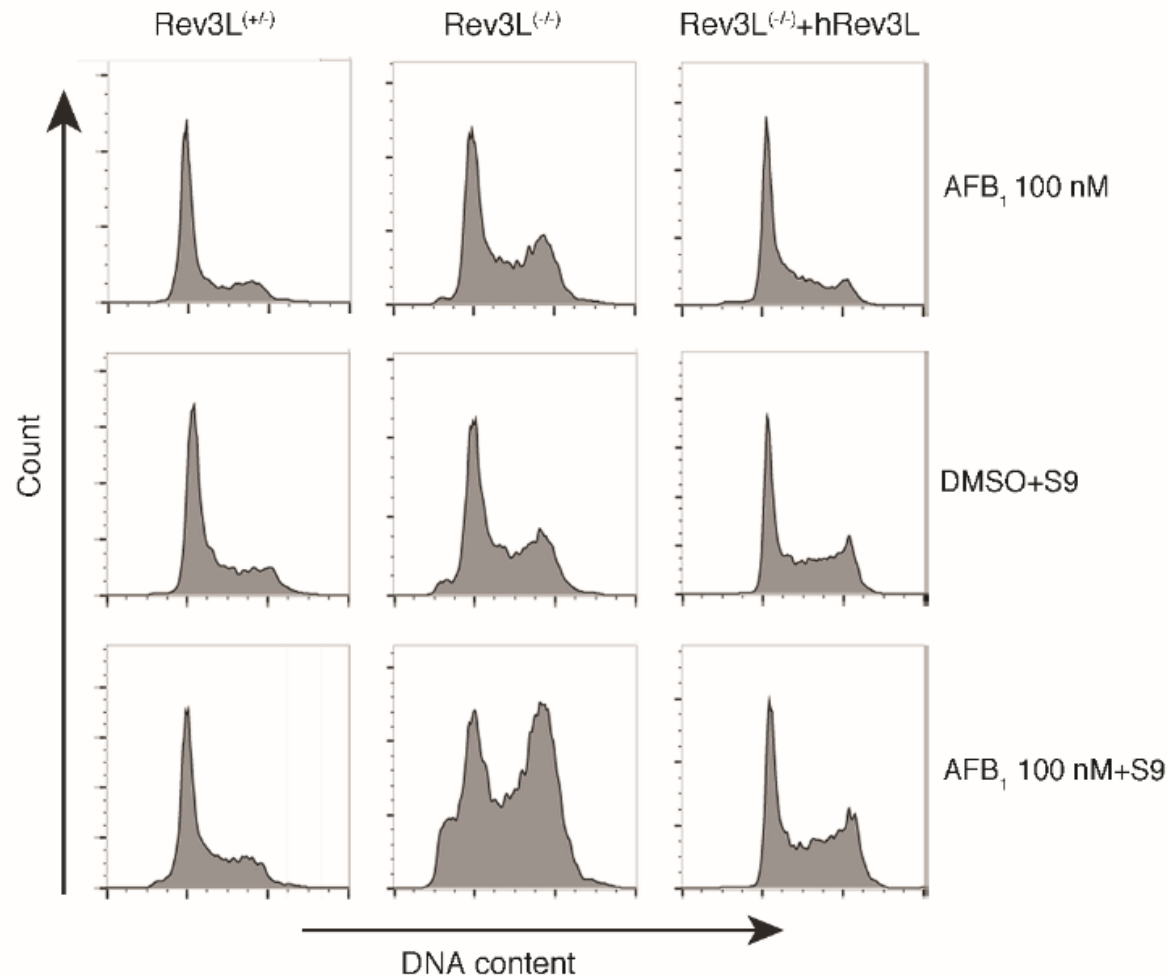
These data suggested that if polymerase  $\zeta$  was primarily responsible for TLS, then polymerase  $\zeta$ -deficient cells, would manifest a strong biological phenotype following aflatoxin exposure

Initiated collaboration with Dr. Rick Wood, MD Anderson Cancer Institute, Smithville to obtain polymerase  $\zeta$ -deficient cells (*Rev3L*<sup>-/-</sup>)

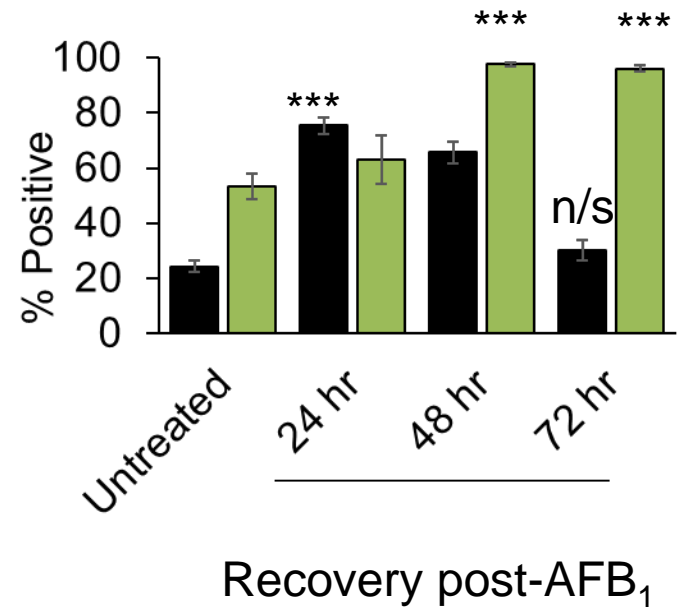
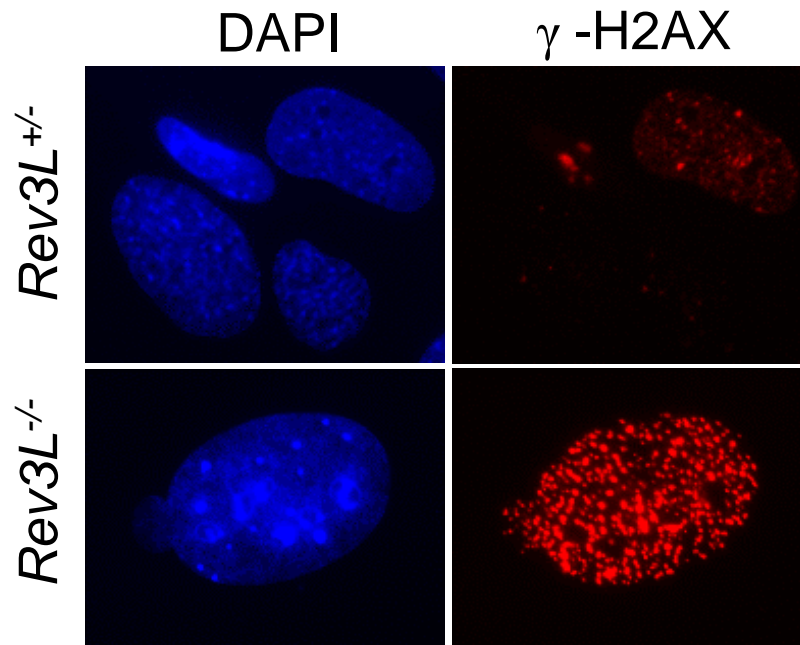
# Polymerase $\zeta$ -deficient cells are sensitive to the cytotoxic effects of aflatoxin



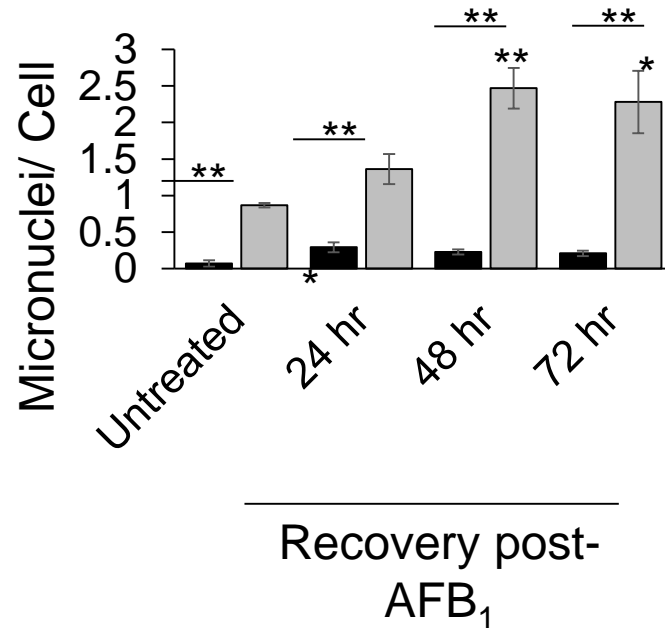
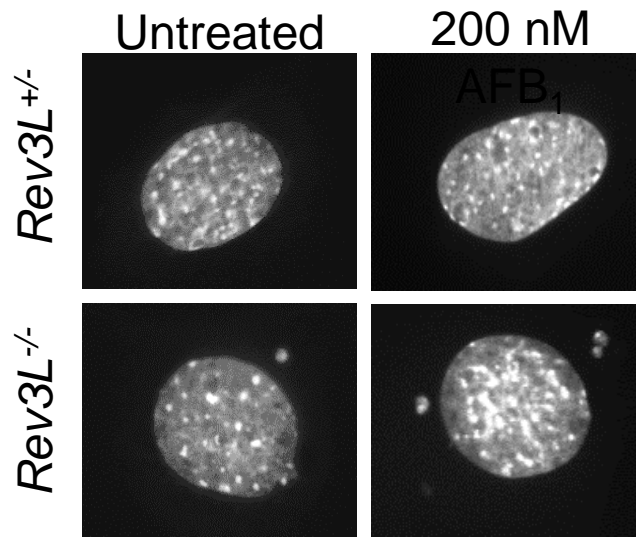
# Polymerase $\zeta$ -deficient cells arrest in G2 following aflatoxin exposures



In polymerase  $\zeta$ -deficient cells, aflatoxin adducts manifest as double-stranded breaks:  $\gamma$ H2AX foci

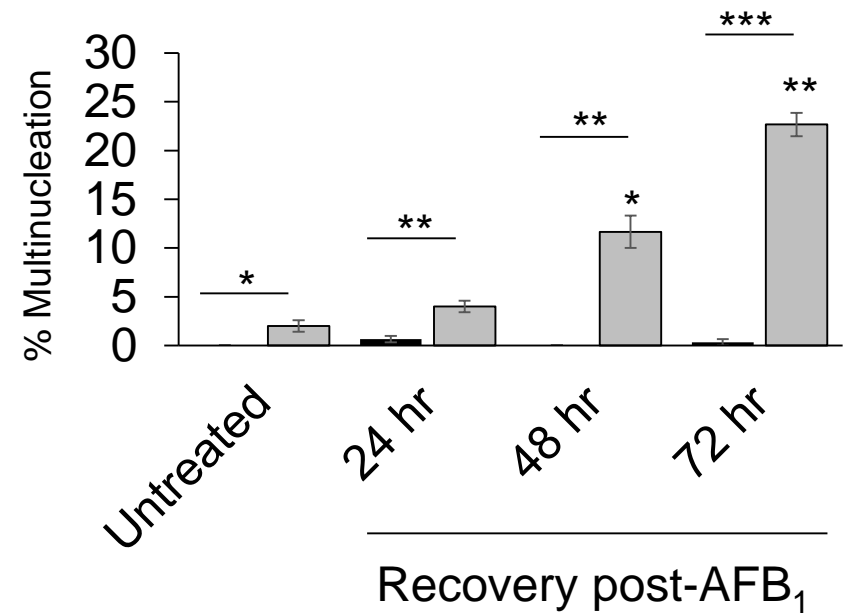
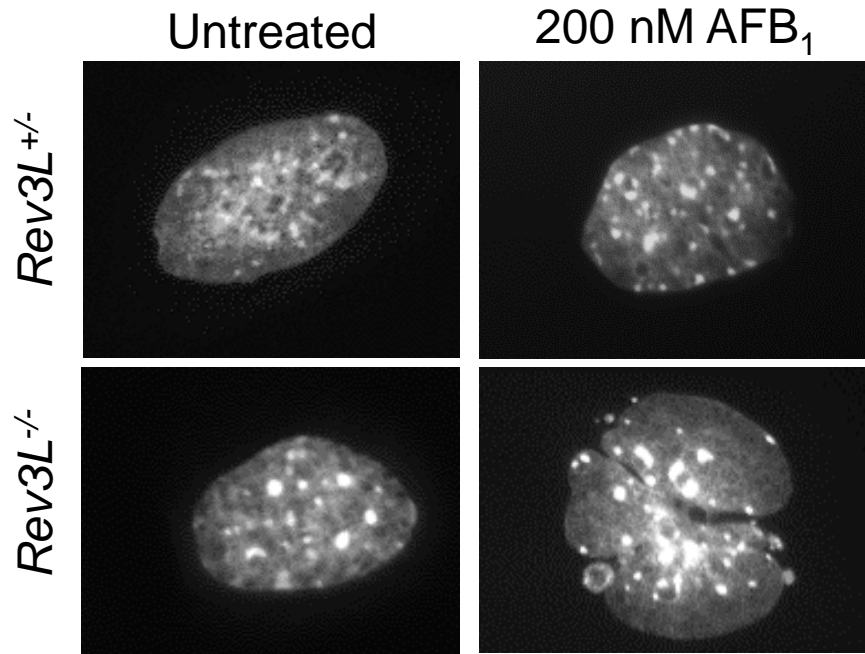


In polymerase  $\zeta$ -deficient cells, aflatoxin adducts manifest by an increase in micronuclei

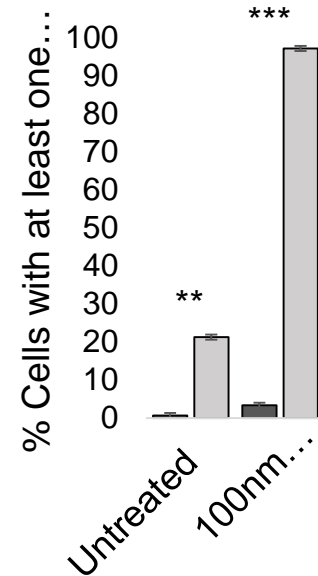
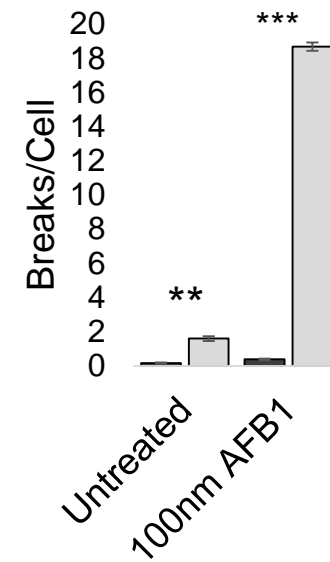
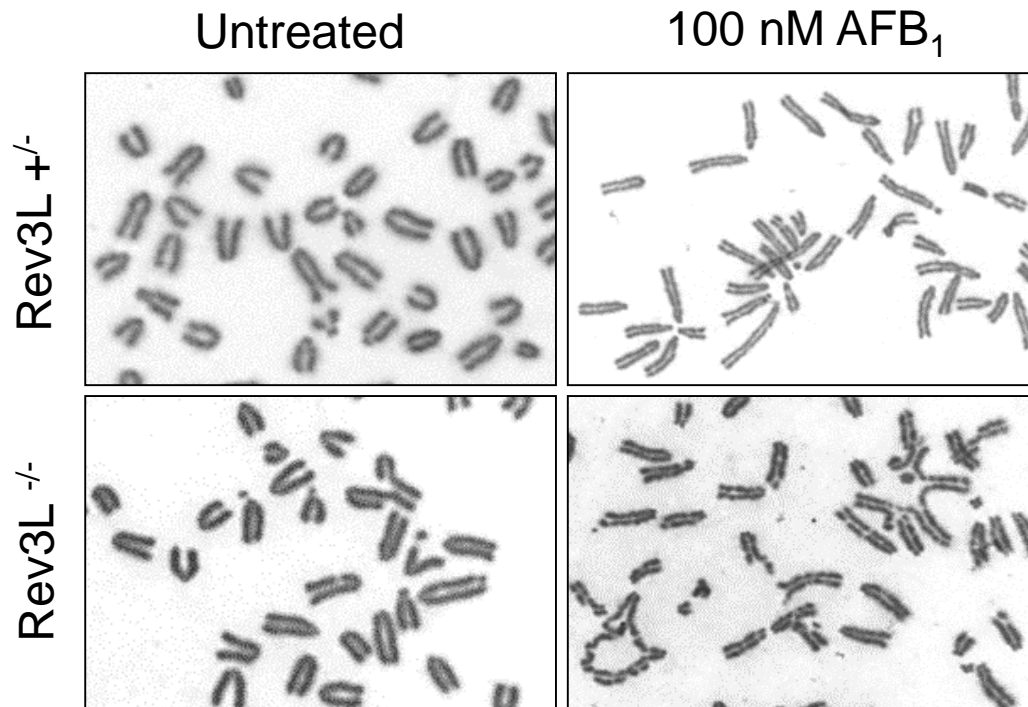




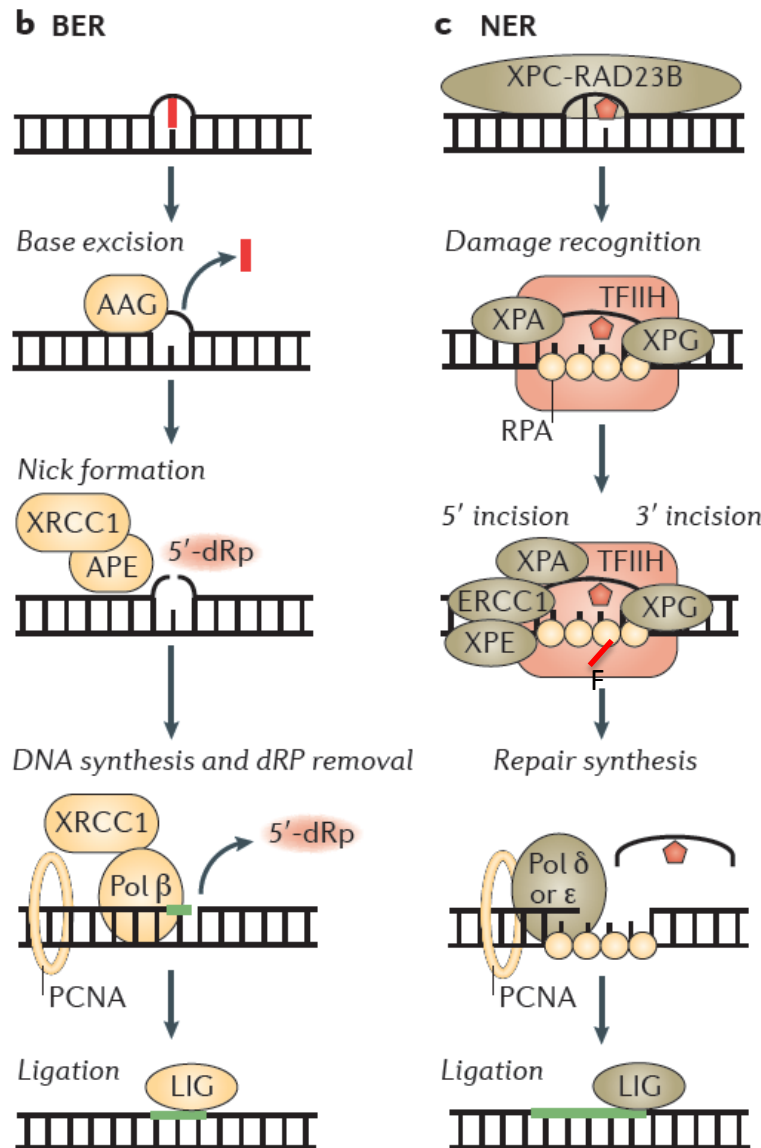
In polymerase  $\zeta$ -deficient cells, aflatoxin adducts manifest as an increase in multinucleated cells



In polymerase  $\zeta$ -deficient cells, aflatoxin adducts manifest as double-stranded breaks: chromosome breaks & radials



# DNA Repair Pathways for Aflatoxin Adducts: Base vs. nucleotide excision repair

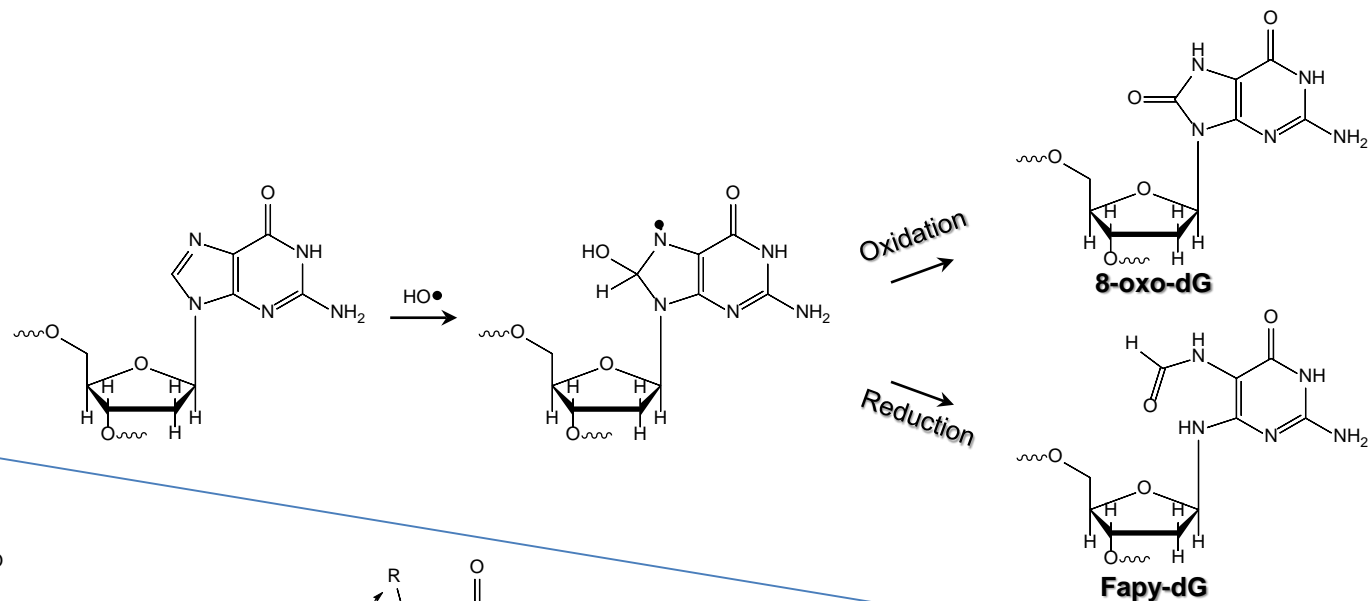


*E. coli* FPG:  
conflicting data

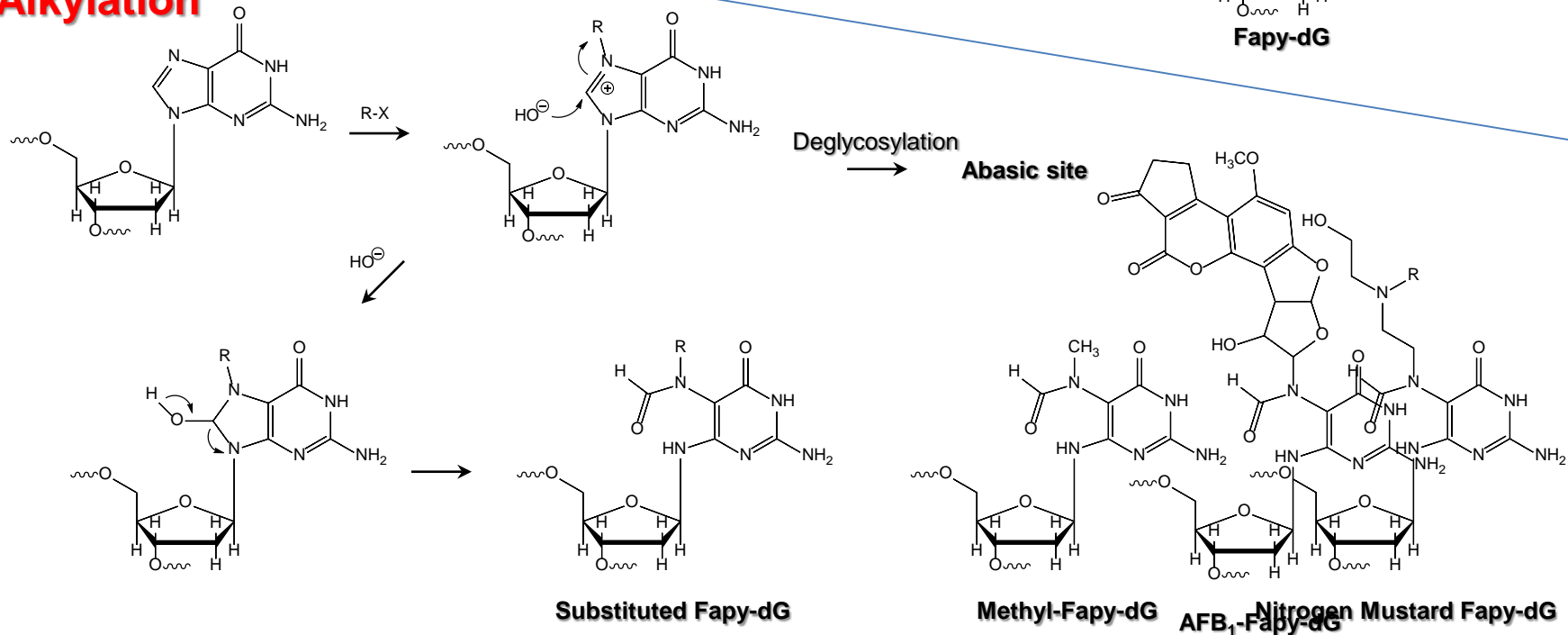
*E. coli*  
UVR ABC excision nuclease –  
Excellent removal

Human and monkey  
Efficient removal of subset  
of adducts

## Common Oxidation



## Alkylation

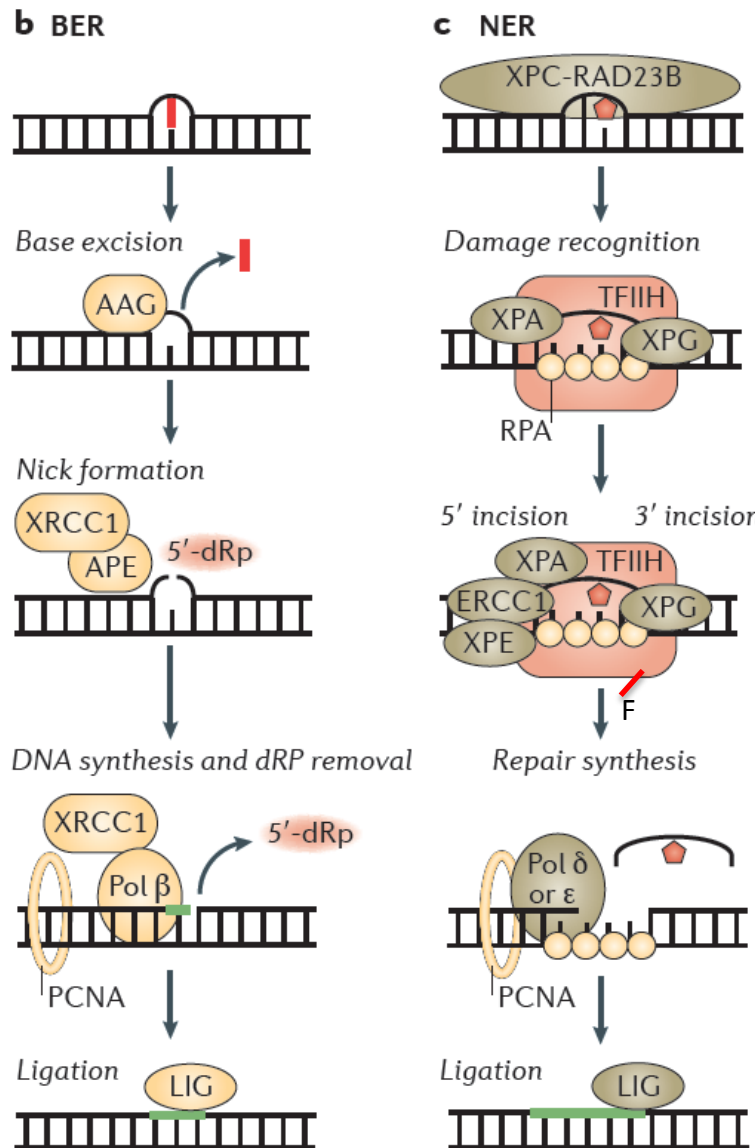


Greenberg, M. M. *Acc. Chem. Res.* **2012**, 45, 588-597

Gates, K.S.; Nooner, T; Dutta, S. *Chem. Res. Toxicol.* **2004**, 17, 839-856

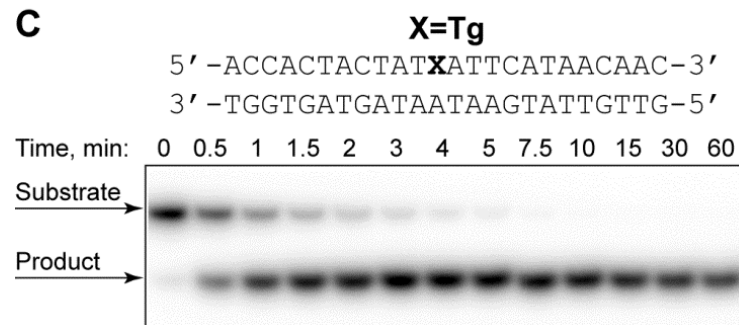
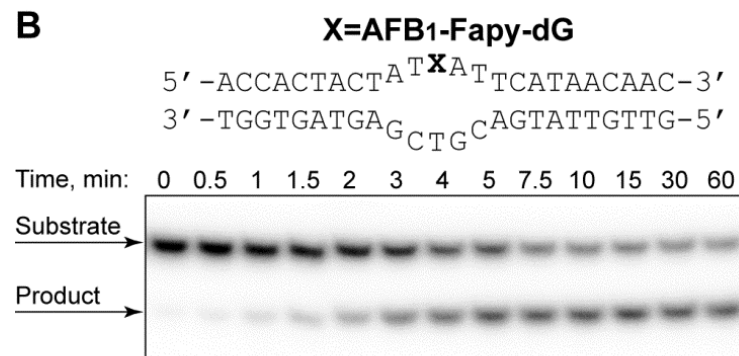
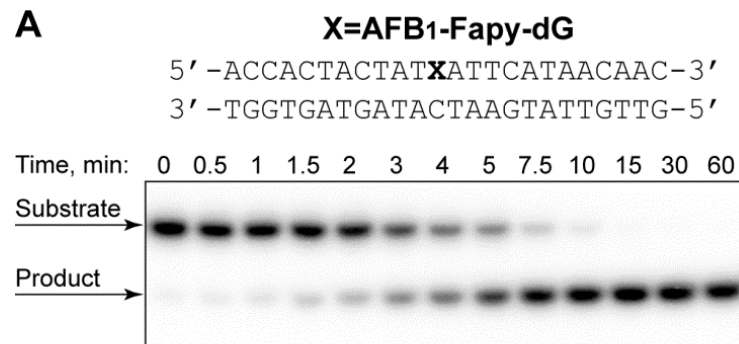
# DNA Repair Pathways for Aflatoxin Adducts: Base vs. nucleotide excision repair

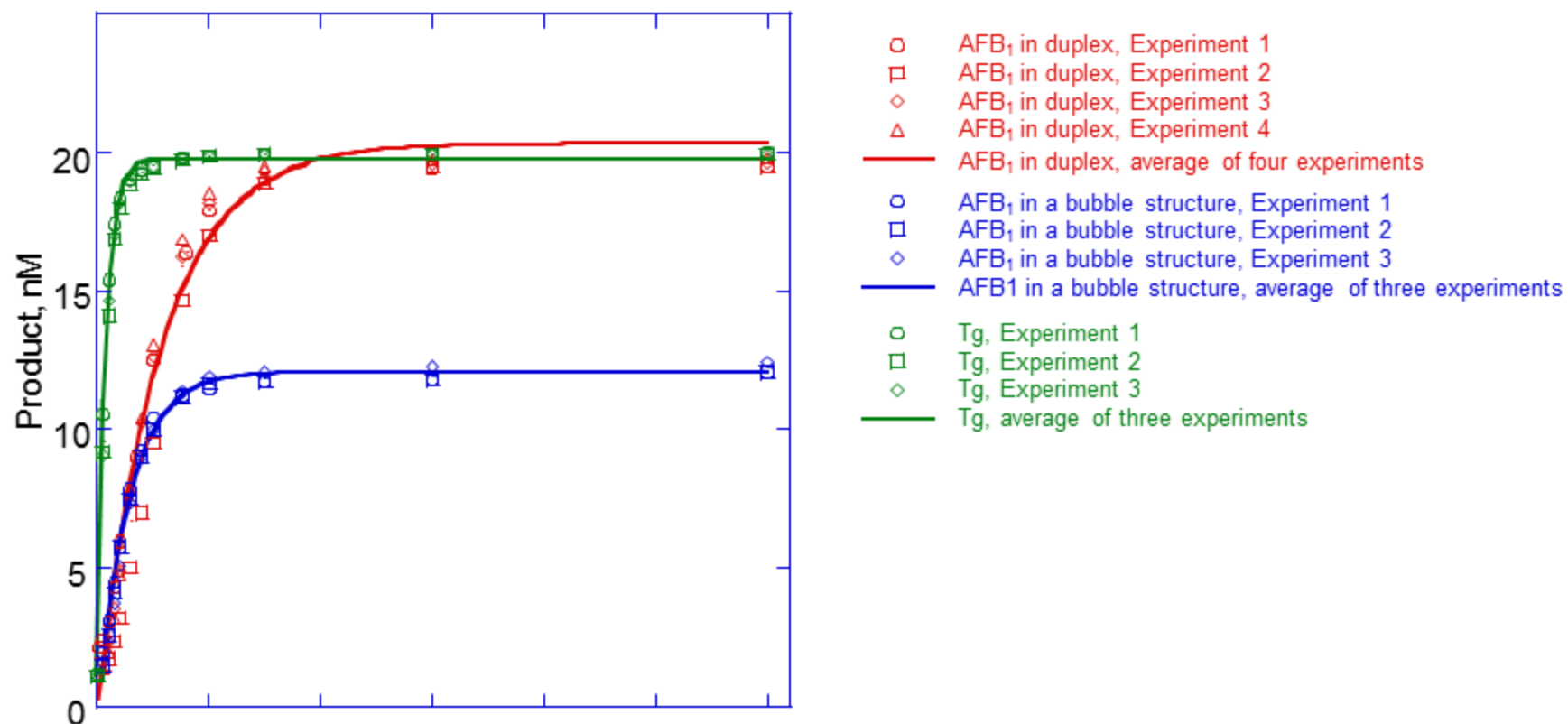
BER assumed **not functional** for aflatoxin adduct repair



NER capable of removing a some aflatoxin adducts

# NEIL1-catalyzed incision of DNAs containing an AFB<sub>1</sub>-Fapy-dG





	AFB <sub>1</sub> in duplex		AFB <sub>1</sub> in a bubble structure		Thymine glycol	
	$k_{\text{obs}}$	Extrapolated maximal product	$k_{\text{obs}}$	Extrapolated maximal product	$k_{\text{obs}}$	Extrapolated maximal product
Experiment 1	0.194	19.9	0.349	11.9	1.497	19.7
Experiment 2	0.131	20.5	0.319	12.1	1.247	19.7
Experiment 3	0.178	20.4	0.288	12.5	1.291	19.7
Experiment 4	0.181	20.6				
AVE	0.171	20.4	0.319	12.2	1.345	19.7
SD	0.028	0.3	0.031	0.3	0.133	0



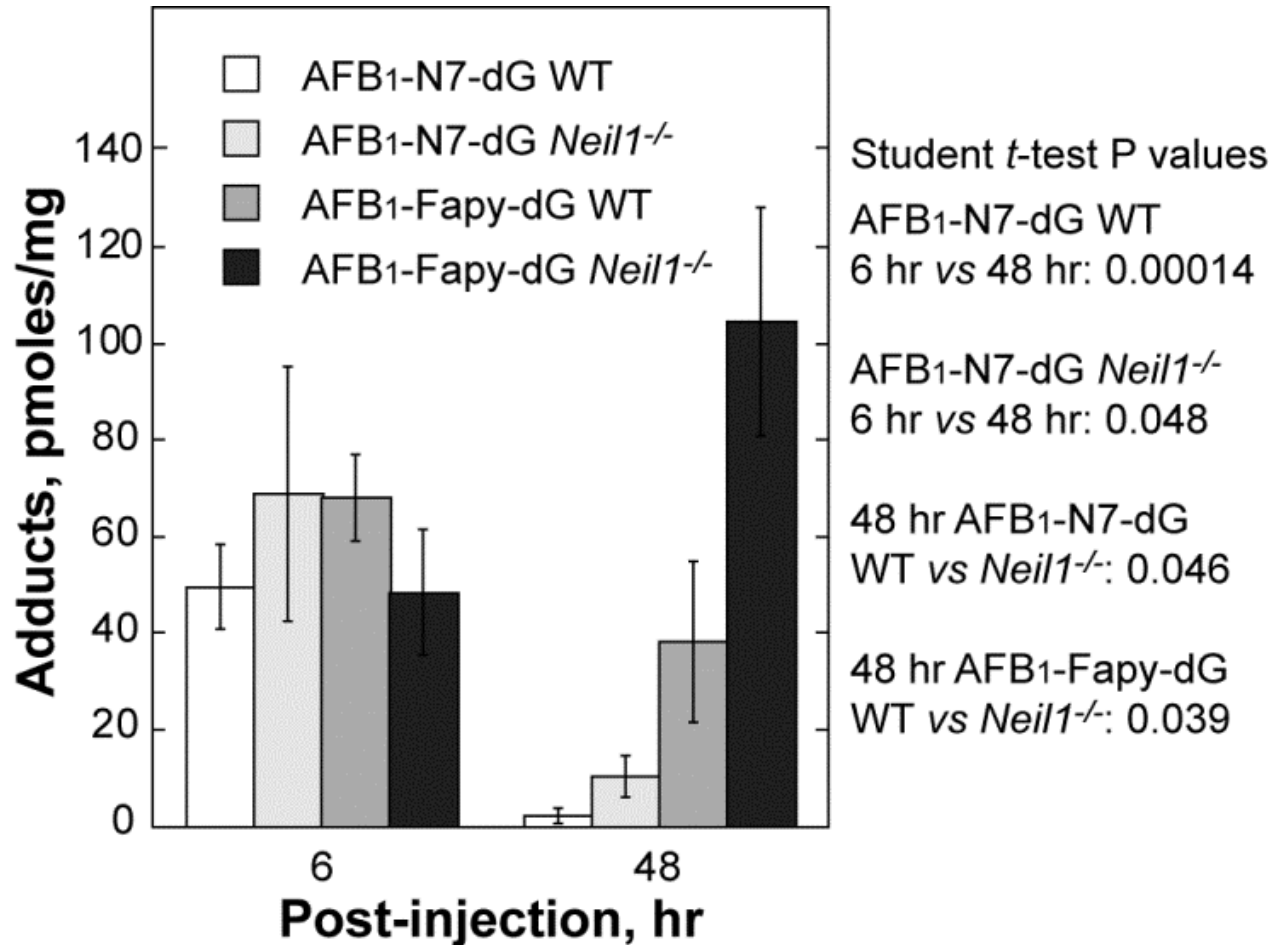
# Is NEIL1-initiated base excision repair active in AFB<sub>1</sub> adduct repair *in vivo*?

- Collaboration with Drs. John Essigmann, MIT and Dr. John Groopman, Johns Hopkins U.

Hypothesis: if NEIL1-initiated BER is a significant contributor to the repair of AFB<sub>1</sub>-Fapy-dG adducts (in addition to NER), then the persistence of these adducts should be greater in *Neil1*<sup>-/-</sup> mice vs WT mice

Experimental design: expose newborn (<6 day-old) WT and *Neil1*<sup>-/-</sup> mice to i.p. 3.5 mg/kg AFB<sub>1</sub> in DMSO & DMSO control; harvest livers at 6 and 48 hr post injection, harvest DNA, analyze for AFB<sub>1</sub> adducts by mass spectrometry

# Formation and persistence of AFB<sub>1</sub> DNA adducts in WT and *Neil1*<sup>-/-</sup> mice: effect of deficient base excision repair



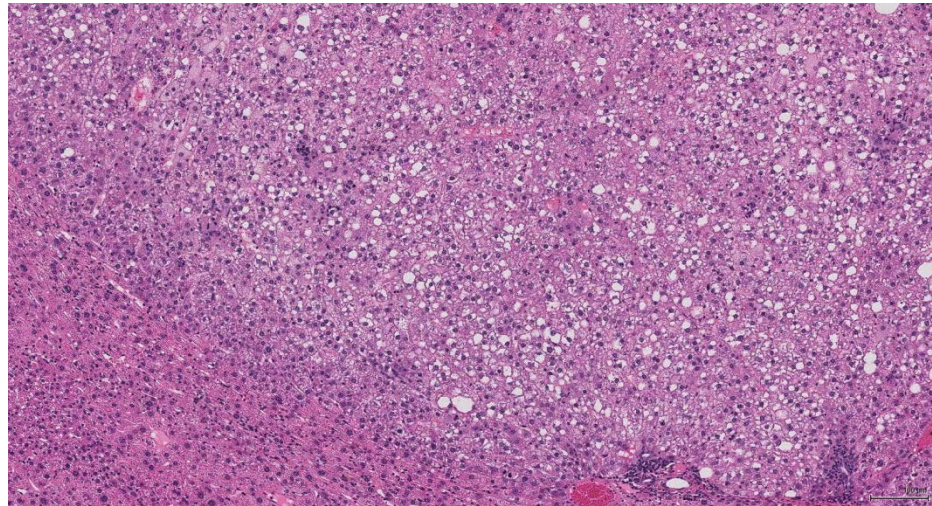
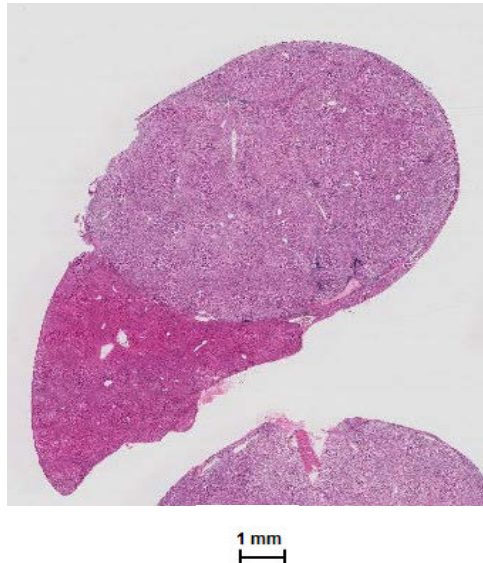
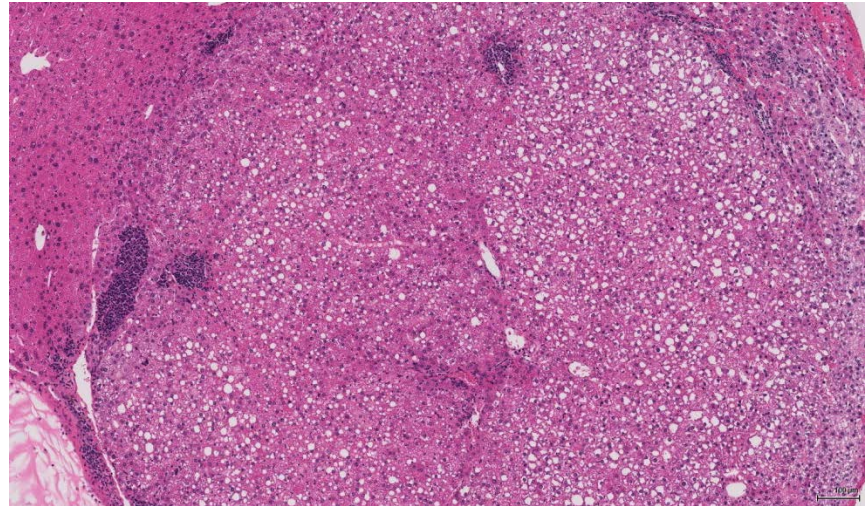
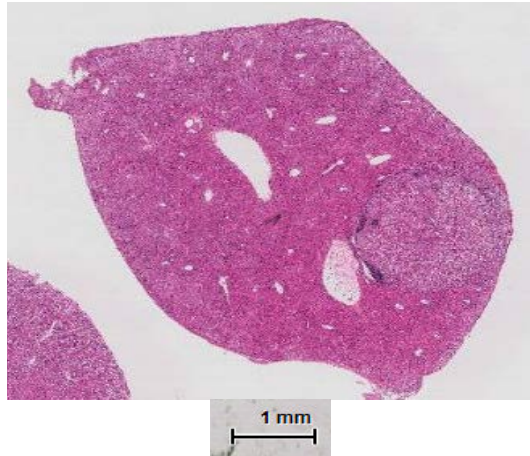
# Is there a role for NEIL1 in limiting aflatoxin-induced carcinogenesis?

- Given that AFB<sub>1</sub> Fapy adducts are good substrates for NEIL1 incision
- Given that AFB<sub>1</sub>-Fapy-dG adducts differentially accumulate in *Neil1*<sup>-/-</sup> mice

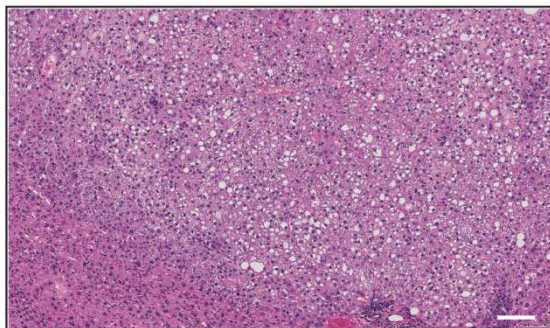
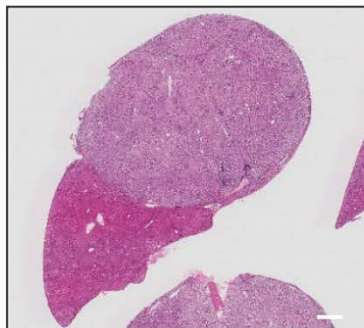
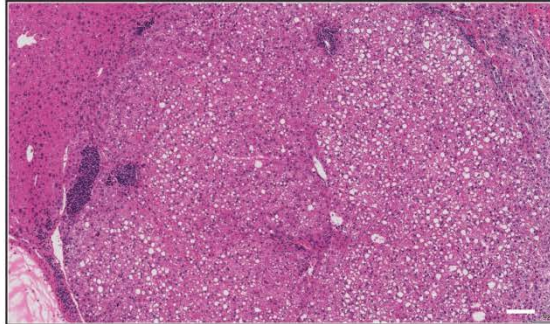
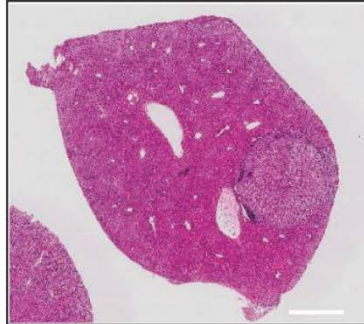
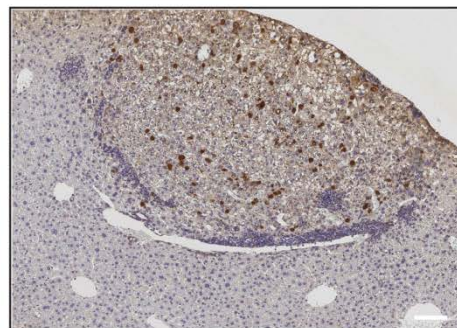
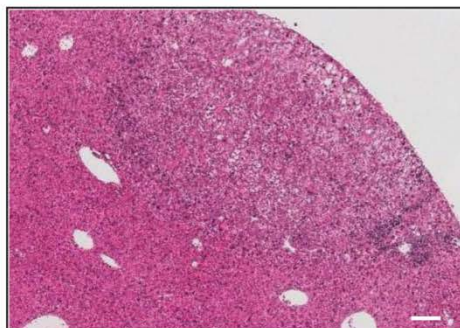
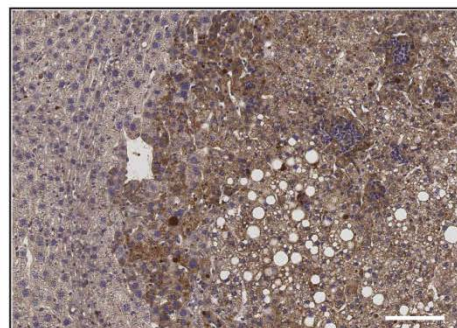
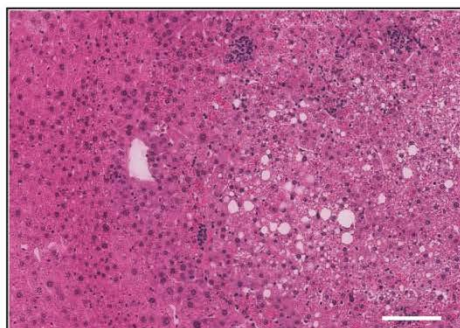
Hypothesis: *Neil1*<sup>-/-</sup> mice would be more susceptible to AFB<sub>1</sub> carcinogenesis vs WT

Experimental Design: <6 day old C57Bl6/J ± *Neil1* (~40 mice per group) given a single IP injection of DMSO, 1.0 or 7.5 mg/kg AFB<sub>1</sub>; followed for ~15 months

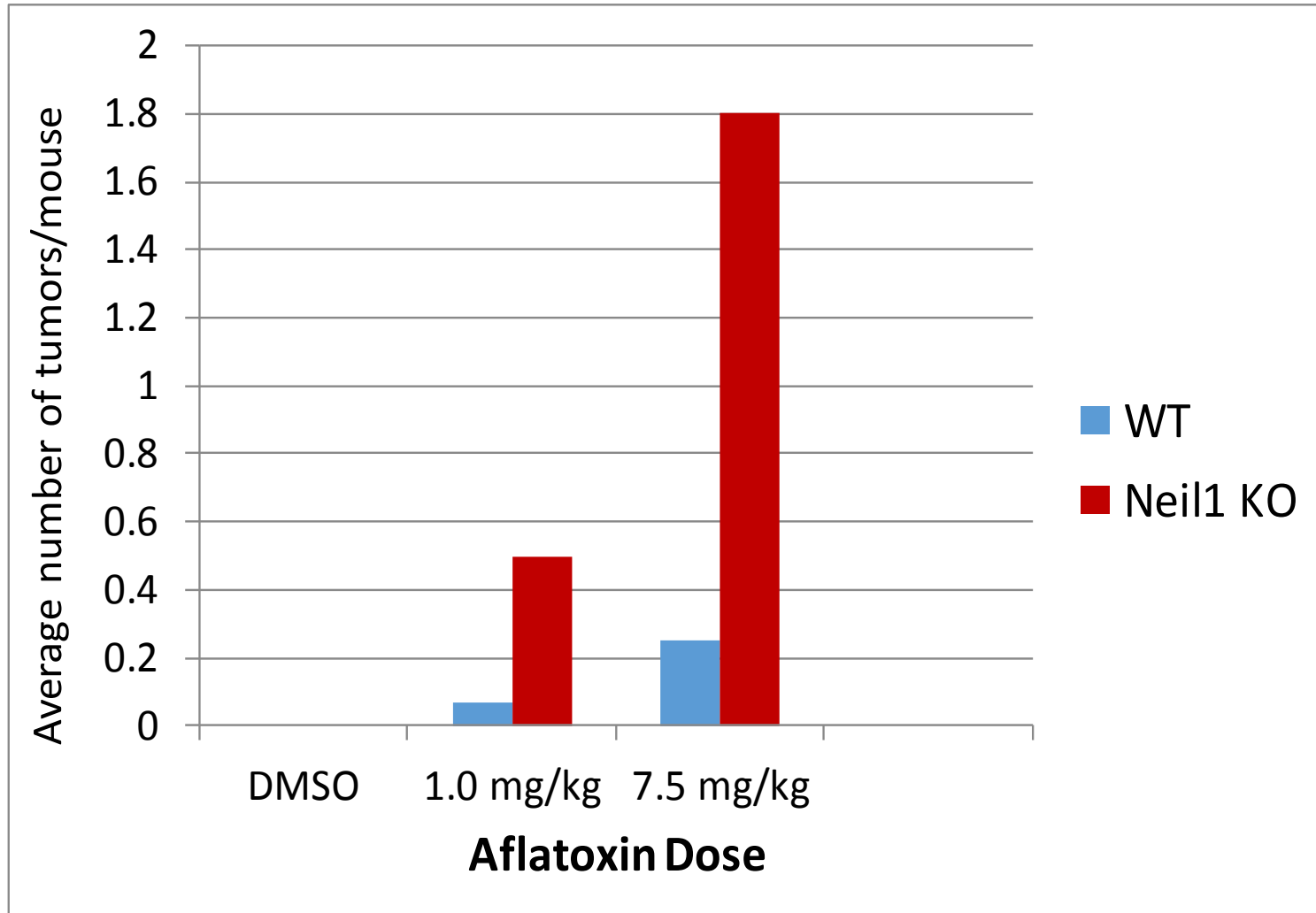
# Histopathology of *Neil1*<sup>-/-</sup> Liver Tumors



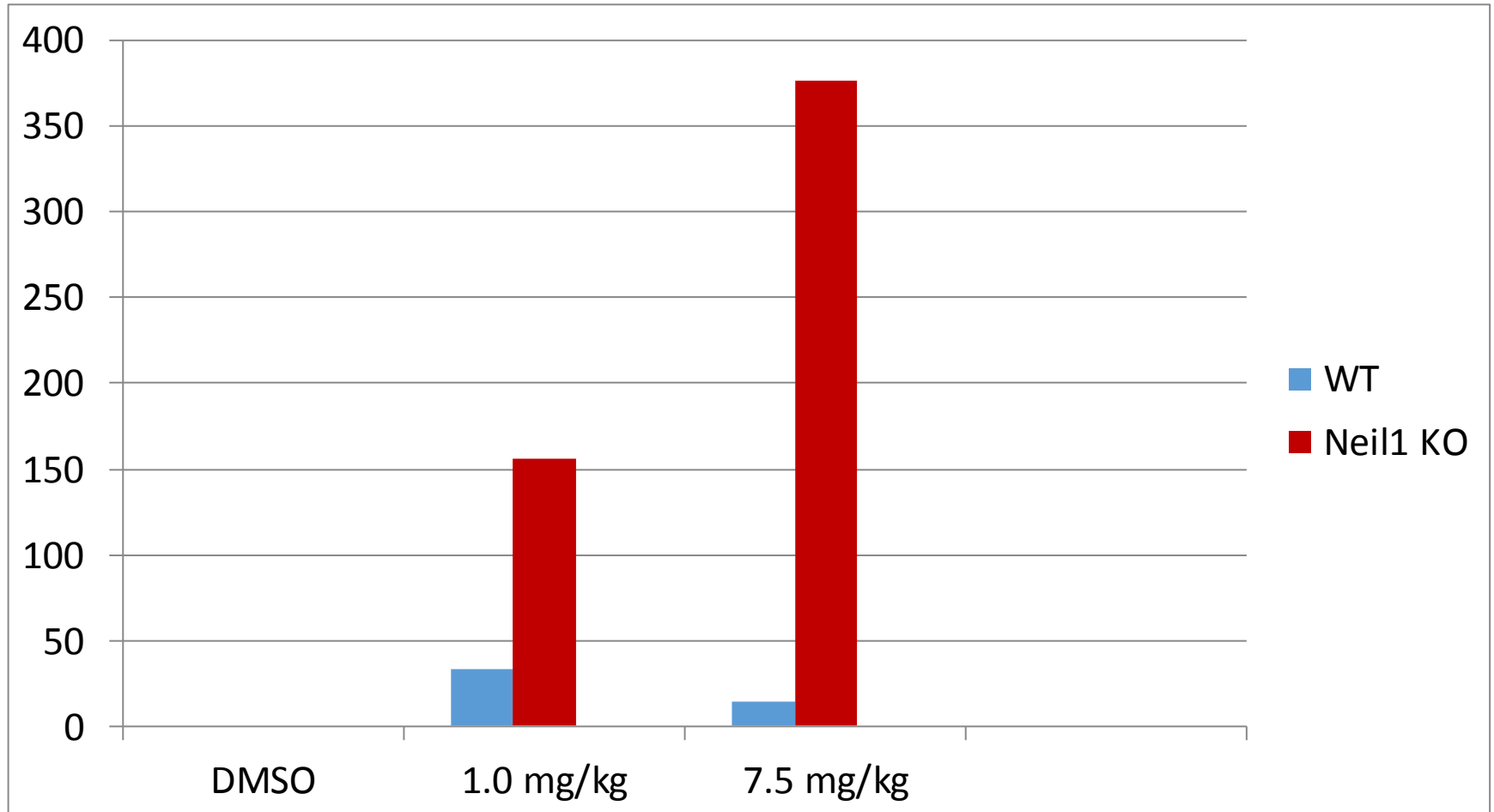


**A****B**

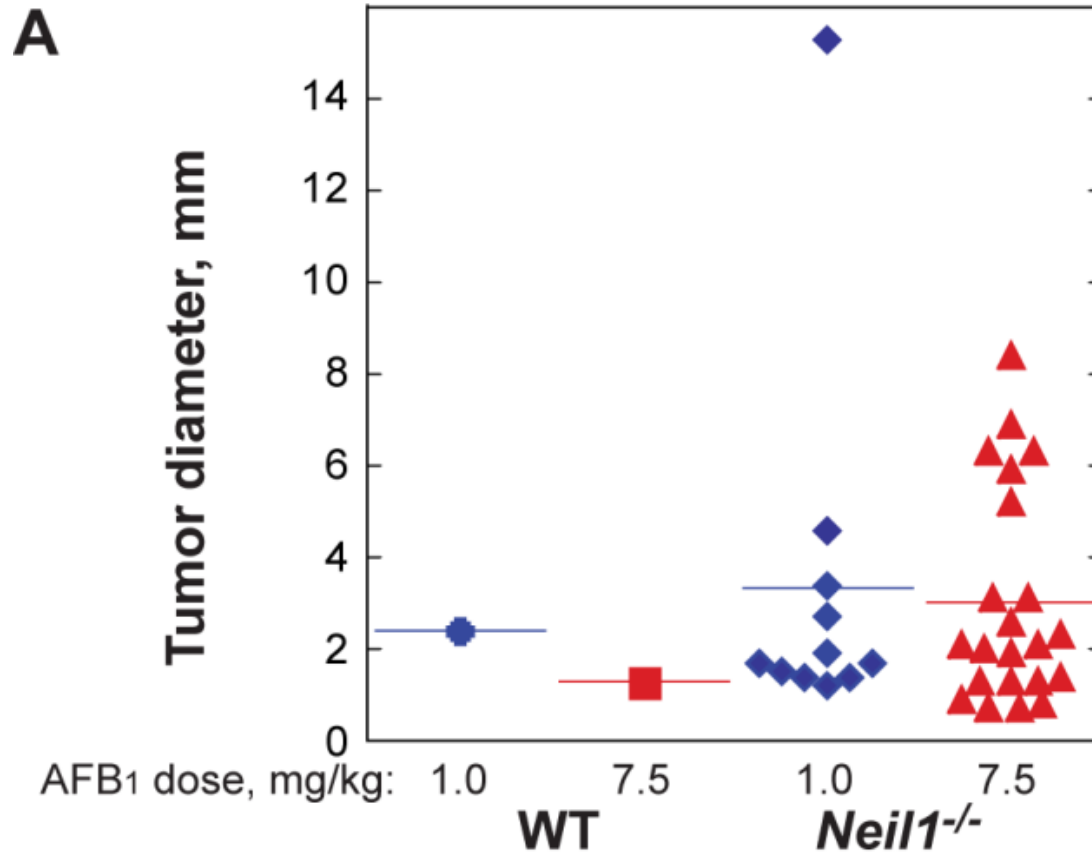
# Average Number of Tumors/Mouse



# Average tumor size mm<sup>3</sup>/mouse

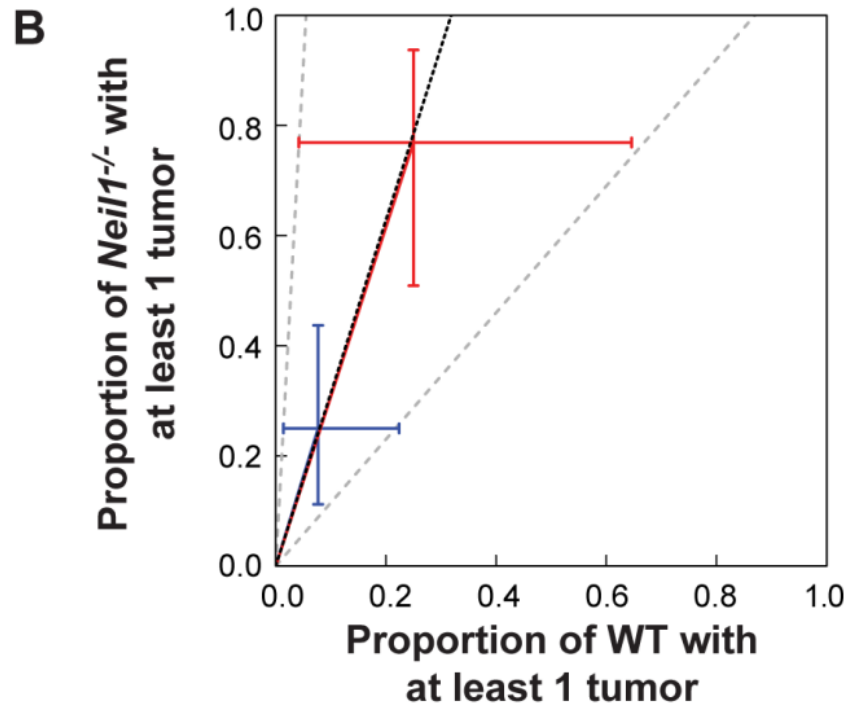


# Individual tumor data: *Neil1*<sup>-/-</sup> vs WT

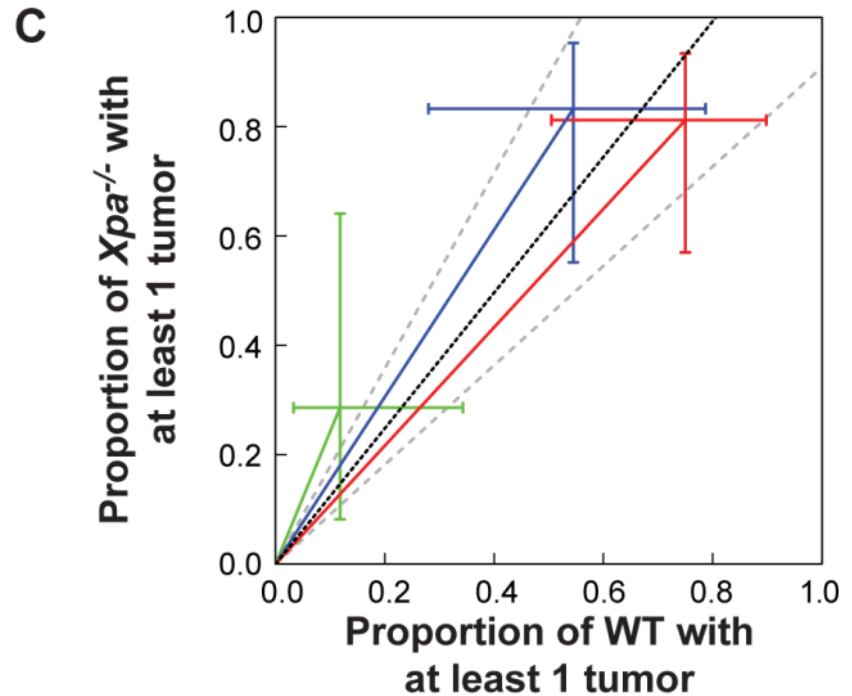




# Relative importance of BER- vs NER-initiated repair of AFB<sub>1</sub> adducts in HCCs



Risk increase from *Neil1* deficiency:  
**3.4**



Risk increase from XPA deficiency:  
**1.2**

# Human Health Implications

- Mouse carcinogenesis data suggest that deficiencies in NEIL1 lead to increased susceptibility to aflatoxin-induced liver cancers
- At least 2 of the 4 known human polymorphic variants of *NEIL1* produce glycosylase-deficient enzymes
- Data suggest a potential increased disease susceptibility for individuals carrying inactivating SNPs

# Human polymorphic variants of *NEIL1*

Residue #	Frequency*	Activity
S82C	1.1 %	Wild type
G83D	1.1 %	No glycosylase
R136C	1.1 %	No glycosylase
I182M	0.5%	Reduced glycosylase
D252N	2.4%	Wild type

\* NCBI SNP database

# Relevance to human health

Could polymorphic variants in *NEIL1* within the human population in China, SE Asia, Africa affect genetic susceptibility to the development of early onset HCCs arising from aflatoxin exposure?

*Propose that DNA sequencing of the NEIL1 gene from DNAs isolated from tumors of early onset HCC could be a key to susceptibility*

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